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### THE PAYMENT OF GRATUITY (CENTRAL) RULES, 1972<sup>1</sup>

In exercise of the powers conferred by sub-section (1) of section 15 of the Payment of Gratuity Act, 1972 (39 of 1972), the Central Government hereby makes the following rules, namely:—

### Short title and commencement.—

- (1) These rules may be called the Payment of Gratuity (Central) Rules, 1972.
- (2) These rules shall come into force on the 16th September, 1972.
- **2. Definitions.**—In these rules, unless there is anything repugnant in the subject or context,—
  - (a) "Act" means the Payment of Gratuity Act, 1972 (39 of 1972);
  - (b) "Appellate authority" means the Central Government or the authority specified by the Central Government under sub-section (7) of section 7;
  - (c) "Form" means a form appended to these rules;
  - (d) "nomination" means nomination made under section 6;
  - (e) "Section" means a section of the Act.

### 3. Notice of opening, change or closure of the establishment.—

- (1) Within thirty days of the rules becoming applicable to an establishment, a notice in Form 'A' shall be submitted by the employer to the controlling authority of the area.
- (2) A notice in Form 'B' shall be submitted by the employer to the controlling authority of the area within thirty days of any change in the name, address, employer or nature of business.
- (3) Where an employer intends to close down the business he shall submit a notice in Form 'C' to the controlling authority of the area at least sixty days before the intended closure.

### 4. Display of notice.—

(1) The employer shall display conspicuously a notice at or near the main entrance of the establishment in bold letters in English and in a language understood by the majority of the employees specifying the name of

<sup>1</sup> Vide G.S.R. 412 (E), dated 16th September, 1972, published in the Gazette of India, Extra., Pt. II, Sec. 3(i), dated 16th September, 1972.

officer with designation authorised by the employer to receive on his behalf notices under the Act or the rules.

(2) A fresh notice shall be displayed immediately after the notice referred to in sub-rule (1) becomes illegible or requires a change.

### \*5. Form of notice under proviso to section (2) (h) (ii).—

- (1) A notice under the proviso to sub-clause (ii) of clause (h) of section 2 shall be in Form 'D' and sent in triplicate by the employee to the employer, who shall, after recording its receipt on one copy thereof, return the copy to the employee and send the second copy to the controlling authority of the area.
- (2) An employee may withdraw the notice referred to in sub-rule (1) by giving another notice in triplicate in Form 'E' to the employer, who shall follow the same procedure as in sub-rule (1).

### 6. Nominations.—

- (1) A nomination shall be in **Form** '**F**' and submitted in duplicate by personal service by the employee, after taking proper receipt or by sending through registered post acknowledgement due to the employer,
  - (i) in the case of an employee who is already in employment for a year or more on the date of commencement of these rules, ordinarily, within ninety days from such date, and
  - (ii) in the case of an employee who completes one year of service after the date of commencement of these rules, ordinarily within thirty days of the completion of one year of service:

Provided that nomination in **Form** '**F**' shall be accepted by the employer after the specified period, if filed with reasonable grounds for delay, and no nomination so accepted shall be invalid merely because it was filed after the specified period.

(2) Within thirty days of the receipt of nomination in **Form** '**F**' under sub-rule (1), the employer shall get the service particulars of the employee, as mentioned in the form of nomination, verified with reference to the records

By section 2 of the Payment of Gratuity (Amendment) Act, 1987 (22 of 1987) proviso to subclause (ii) of clause (h) of section 2 of the Payment of Gratuity Act, 1972 (39 of 1972) has been omitted (w.e.f. 1-10-1987) and accordingly rule 5 along with Forms D and E have became redundant, Ed.

of the establishment and return to the employee, after obtaining a receipt thereof, the duplicate copy of the nomination in **Form** '**F**' duly attested either by the employer or an officer authorised in this behalf by him, as a token of recording of the nomination by the employer and the other copy of the nomination shall be recorded.

- (3) An employee who has no family at the time of making a nomination shall, within ninety days of acquiring a family submit in the manner specified in sub-rule (1), a fresh nomination, as required under sub-section (4) of section 6, duplicate in **Form** 'G' to the employer and thereafter the provisions of sub-rule (2) shall apply *mutatis mutandis* as if it was made under sub-rule (1).
- (4) A notice of modification of a nomination, including cases where a nominee predeceases an employee, shall be submitted in duplicate in **Form 'H'** to the employer in the manner specified in sub-rule (1), and thereafter the provisions of sub-rule (2) shall apply *mutatis mutandis*.
- (5) A nomination or a fresh nomination or a notice of modification of nomination shall be signed by the employee or, if illiterate, shall bear his thumb impression, in the presence of two witnesses, who shall also sign *a* declaration to that effect in the nomination, fresh nomination or notice of modification of nomination, as the case may be.
- (6) A nomination, fresh nomination or notice of modification of nomination shall take effect from the date of receipt thereof by the employer.

### 7. Application for gratuity.—

(1) An employee who is eligible for payment of gratuity under the Act, or any person authorised, in writing, to act on his behalf, shall apply, ordinarily within thirty days from the date the gratuity became payable, in **Form 'I'** to the employer:

Provided that where the date of superannuation or retirement of an employee is known, the employee may apply to the employer before thirty days of the date of superannuation or retirement.

(2) A nominee of an employee who is eligible for payment of gratuity under the second proviso to sub-section (1) of section 4 shall apply, ordinarily within thirty days from the date of gratuity became payable to him, in Form 'J' to the employer:

Provided that an application in plain paper with relevant particulars shall also be accepted. The employer may obtain such other particulars as may be deemed necessary by him.

- (3) A legal heir of an employee who is eligible for payment of gratuity under the second proviso to sub-section (1) of section 4 shall apply, ordinarily within one year from the date of gratuity became payable to him, in Form 'K' to the employer.
- (4) Where gratuity becomes payable under the Act before the commencement of these rules, the periods of limitation specified in subrules (1), (2) and (3) shall be deemed to be operative from the date of such commencement.
- (5) An application for payment of gratuity filed after the expiry of the periods specified in this rule shall also be entertained by the employer, if the applicant adduces sufficient cause for the delay in preferring his claim, and no claim for gratuity under the Act shall be invalid merely because the claimant failed to present his application within the specified period. Any dispute in this regard shall be referred to the controlling authority for his decision.
- (6) An application under this rule shall be presented to the employer either by personal service or by registered post acknowledgement due.

### 8. Notice for payment of gratuity.—

- (1) Within fifteen days of the receipt of an application under rule 7 for payment of gratuity, the employer shall—
  - (i) if the claim is found admissible on verification, issue a notice in **Form** 'L' to the applicant employee, nominee or legal heir, as the case may be, specifying the amount of gratuity payable and fixing a date, not being later than the thirtieth day after the date of receipt of the application, for payment thereof, or
  - (ii) if the claim for gratuity is not found admissible, issue a notice in Form 'M' to the applicant employee, nominee or legal heir, as the case may be, specifying the reasons why the claim for gratuity is not considered admissible.

In either case a copy of the notice shall be endorsed to the controlling authority.

- (2) In case payment of gratuity is due to be made in the employer's office, the date fixed for the purpose in the notice in Form 'L' under clause (i) of sub-rule (1) shall be re-fixed by the employer, if a written application in this behalf is made by the payee explaining why it is not possible for him to be present in person on the date specified.
- (3) If the claimant for gratuity is a nominee or a legal heir, the employer may ask for such witness or evidence as may be deemed relevant for establishing his identity or maintainability of his claim, as the case may be. In that case, the time limit specified for issuance of notices under subrule (1) shall be operative with effect from the date such witness or evidence, as the case may be, called for by the employer is furnished to the employer.
- (4) A notice in **Form 'L' or Form 'M'** shall be served on the applicant either by personal service after taking receipt or by registered post with acknowledgement due.
- (5) A notice under sub-section (2) of section 7 shall be in Form 'L'.
- **9. Mode of payment of gratuity.**—The gratuity payable under the Act shall be paid in cash or, if so desired by the payee, in Demand Draft or bank cheque to the eligible employee, nominee or legal heir, as the case may be:

Provided that in case the eligible employee, nominee or legal heir, as the case may be, so desires and the amount of gratuity payable is less than one thousand rupees, payment may be made by postal money order after deducting the postal money order commission therefore from the amount payable:

Provided further that intimation about the details of payment shall also be given by the employer to the controlling authority of the area:

<sup>1</sup>[Provided further that in the case of nominee, or an heir, who is minor, the controlling authority shall invest the gratuity amount deposited with him for the benefit of such minor in term deposit with the State Bank of India or any of its subsidiaries or any Nationalised Bank.

**Explanation.**—"Nationalised Bank" means a corresponding new bank specified in the First Schedule to the Banking Companies (Acquisition and Transfer of

<sup>1</sup> Ins. by G.S.R. 77, dated 2nd February, 1991 (w.e.f. 1-2-1991).

Undertakings) Act, 1970 (5 of 1970) or a corresponding new bank specified in the First Schedule of the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1980 (40 of 1980).]

### 10. Application to controlling authority for direction.—

- (1) If an employer—
  - (i) refuses to accept a nomination or to entertain an application sought to be filed under rule 7, or
  - (ii) issues a notice under sub-rule (1) of rule 8 either specifying an amount of gratuity which is considered by the applicant less than what is payable or rejecting eligibility to payment of gratuity, or
  - (iii) having received an application under rule 7 fails to issue any notice as required under rule 8 within the time specified therein,

the claimant employee, nominee or legal heir, as the case may be, may, within ninety days of the occurrence of the cause for the application, apply in **Form 'N'** to the controlling authority for issuing a direction under sub-section (4) of section 7 with as many extra copies as are the opposite party:

Provided that the controlling authority may accept any application under this sub-rule, on sufficient cause being shown by the applicant, after the expiry of the specified period.

(2) Application under sub-rule (1) and other documents relevant to such an application shall be presented in person to the controlling authority or shall be sent by registered post acknowledgement due.

### 11. Procedure for dealing with application for direction.—

- (1) On receipt of an application under rule 10 the controlling authority shall, by issuing a notice in Form 'O', call upon the applicant as well as the employer to appear before him on a specified date, time and place, either by himself or through his authorised representative together with all relevant documents and witnesses, if any.
- (2) Any person desiring to act on behalf of an employer or employee, nominee or legal heir, as the cases may be, shall present to the controlling authority a letter of authority from the employer or the person concerned, as the case may be, on whose behalf he seeks to act together with a written statement explaining his interest in the matter and praying

for permission so to act. The controlling authority shall record thereon an order either according his approval or specifying, in the case of refusal to grant the permission prayed for, the reasons for the refusal.

- (3) A party appearing by an authorised representative shall be bound by the acts of the representative.
- (4) After completion of hearing on the date fixed under sub-rule (1), or after such further evidence, examination of documents, witnesses, hearing and enquiry, as may be deemed necessary, the controlling authority shall record his finding as to whether any amount is payable to the applicant under the Act. A copy of the finding shall be given to each of the parties.
- (5) If the employer concerned fails to appear on the specified date of hearing after due service of notice without sufficient cause, the controlling authority may proceed to hear and determine the application *exparte*. If the applicant fails to appear on the specified date of hearing without sufficient cause, the controlling authority may dismiss the application:

Provided that an order under this sub-rule may, on good cause being shown within thirty days of the said order, be reviewed and the application re-heard after giving not less than fourteen days' notice to the opposite party of the date fixed for rehearing of the application.

- **12. Place and time of hearing.**—The sittings of the controlling authority shall be held at such times and at such places as he may fix and he shall inform the parties of the same in such manner as he thinks fit.
- **13. Administration of oath.**—The controlling authority may authorise a clerk of his office to administer oaths for the purpose of making affidavits.
- **14. Summoning and attendance of witnesses.**—The controlling authority may, at any stage of the proceedings before him, either upon or without an application by any of the parties involved in the proceedings before him, and on such terms as may appear to the controlling authority just, issue summons to any person in **Form 'P'** either to give evidence or to produce documents or for both purposes on a specified date, time and place.

### 15. Service of summons or notice.—

(1) Subject to the provisions of sub-rule (2) any notice, summons, process or order issued by the controlling authority may be served either personally

- or by registered post acknowledgement due or in any other manner as prescribed under the Code of Civil Procedure, 1908 (Act 5 of 1908).
- (2) Where there are numerous persons as parties to any proceeding before the controlling authority and such persons are members of any trade union or association or are represented by an authorised person, the service of notice on the Secretary, or where there is no Secretary, on the principal officer of the trade union or association, or on the authorised person shall be deemed to be service on such persons.

### 16. Maintenance of records of cases by the controlling authority.—

- (1) The controlling authority shall record the particulars of each case under section 7, in **Form 'Q'** and at the time of passing orders shall sign and date the particulars so recorded.
- (2) The controlling authority shall, while passing orders in each case, also record the findings on the merits of the case and file it together with the memoranda of evidence with the order sheet.
- (3) Any record, other than a record of any order or direction, which is required by these rules to be signed by the controlling authority, may be signed on behalf of and under the direction of the controlling authority by any subordinate officer appointed in writing for this purpose by the controlling authority.
- 17. Direction for payment of gratuity.—If a finding, is recorded under sub-rule (4) of rule 11 that the applicant is entitled to payment of gratuity under the Act, the controlling authority shall issue a notice to the employer concerned in Form 'R' specifying the amount payable and directing payment thereof to the applicant under intimation to the controlling authority within thirty days from the date of the receipt of the notice by the employer. A copy of the notice shall be endorsed to the applicant employee, nominee or legal heir, as the case may be.

#### 18. Appeal.—

(1) The Memorandum of appeal under sub-section (7) of section 7 of the Act shall be submitted to the appellate authority with a copy thereof to the opposite party and the controlling authority either through delivery in person or under registered post acknowledgement due.

- (2) The Memorandum of appeal shall contain the facts of the case, the decision of the controlling authority, the grounds of appeal and the relief sought.
- (3) There shall be appended to the Memorandum of appeal a certified copy of the finding of the controlling authority and direction for payment of gratuity.
- (4) On receipt of the copy of Memorandum of appeal, the controlling authority shall forward records of the case to the appellate authority.
- (5) Within 14 days of the receipt of the copy of the Memorandum of appeal, the opposite party shall submit his comments of each paragraph of the Memorandum with additional pleas, if any, to the appellate authority with a copy to the appellant.
- (6) The appellate authority shall record its decision after giving the parties to the appeal a reasonable opportunity of being heard. A copy of the decision shall be given to the parties to the appeal and a copy thereof shall be sent to the controlling authority returning his records of the case.
- (7) The controlling authority shall, on receipt of the decision of the appellate authority, make necessary entry in the records of the case maintained in Form 'Q', under sub-rule (1) of rule 16.
- (8) On receipt of the decision of the appellate authority, the controlling authority shall, if required under that decision, modify his direction for payment of gratuity and issue a notice to the employer concerned in Form 'S' specifying the modified amount payable and directing payment thereof to the applicant, under intimation to the controlling authority within fifteen days of the receipt of the notice by the employer. A copy of the notice be endorsed to the appellant employee, nominee or legal heir, as the case may be and to the appellate authority.
- 19. Application for recovery of gratuity.—Where an employer fails to pay the gratuity due under the Act in accordance with the notice by the controlling authority under rule 17 or rule 18, as the case may be, the employee concerned, his nominee or legal heir, as the case may be, to whom the gratuity is payable may apply to the controlling authority in duplicate in Form T' for recovery thereof under section 8 of the Act.

**20. Display of abstract of the Act and Rules.**—The employer shall display an abstract of the Act and the rules made thereunder <sup>1</sup>[as given in Form 'U'] in English and in the language understood by the majority of the employees at conspicuous place at or near the main entrance of the establishment.

# FORM 'A' [See sub-rule (1) of rule 3] NOTICE OF PENDING

1.	Name and address of the Establishment.	
2.	Name and designation of the Employer.	
3.	Number of persons employed.	
4.	Maximum number of persons employed on any day during the preceding twelve months with date.	
5.	Number of employees covered by the Act.	
6.	Nature of industry.	
7.	Whether seasonal.	
8.	Date of opening.	
9.	Details of Head Off ice/Branches.	
(a)	Name and address of the head office. Number of employees.	
(b)	Names and addresses of other branches in India.	
	1.	
	2.	
	3.	

I verify that the information furnished above is true to the best of my knowledge and belief.

<sup>1</sup> Ins. by G.S.R. 2868, dated 22nd November, 1975.

	The Payment of Gratuit	y (General	l) Rules, 1972
Place Date <i>To</i>			Signature of the employer with name and designation
TI	he Controlling Authority		
		M (D)	
	FOR [See sub-rule NOTICE O		
Nam Estal	e and address of the blishment		
Take	notice that following changes	have ta	ken place with effect from
	in the particulars fur on Form 'A'.	nished I	by me in notice dated
Nam	е		
Addr	ess		
Nam	e of the employer		
Natu	re of business		
Place Date			Signature of the employer with name and designation
То			G
T	he Controlling Authority		
Take	FOR [See sub-rule NOTICE OF notice that it is intended to close	CLOSUF	ŘE
	The other details are furnished		Cotabilorinione with onoce from
1.	Name and address of the establis	hment.	
2.	Name and address of the Head any	Office, if	
3.	Name and designation of the emp	loyer.	
4.	Number of persons in employmen	t.	

The Payment of Gratuity (General) Rules, 1972		
5.	Number of employees entitled to Gratuity.	
6.	Amount of Gratuity involved.	

Place
Date
То
The Controlling Authority

Signature of the employer with name and designation

### FORM 'D'

## [See sub-rule (1) of rule 5] NOTICE FOR EXCLUDING HUSBAND FROM FAMILY

From		
1.	Name of the female employee	
2.	Name or description of estab	olishment
	where employed	
3.	Post held with Ticket or Serial No.	, if any
4.	Department/Branch/Section employed	where
5.	Permanent address	
	from my family for the p	desire to exclude my husband urposes of the Payment of Gratuity Act,
Place Date		Signature/Thumb impression of the employee
	Declaration I	by witnesses
	above notice was signed/thumb imp	
Nam	ne in full and address of witnesses	full Signature of witnesses
1.		1.
2.		2.
Place	•	
Date		
	he Controlling Authority. (Through th lame and address of the employer here For use by t	
Rocci	ived and recorded in this establishn	• •
Date		Signature of the employer or an officer authorised in this behalf by the employer
. •	(Employee)	

2. The Controlling Authority.

### \*FORM 'E'

[See sub-rule (2) of rule 5]

### NOTICE OF WITHDRAWAL OF NOTICE FOR EXCLUDING HUSBAND FROM FAMILY

1.	Name of the female employee
2.	Name or description of establishment where
	employed
3.	Post held with Ticket or Serial No., if any
4.	Department/Branch/Section where employed
5.	Permanent address

Take notice that I, Shrimati ......... hereby withdraw the notice dated....... whereby I exclude my husband Shri ....... from my family for the purposes of the Payment of Gratuity Act, 1972. The earlier notice was recorded under your reference No.....dated...

Place Signature/Thumb impression Date of the employee.

### Declaration by witnesses

The above notice of withdrawal was signed/thumb impressed before me.

Name in full and full

address of witnesses. Signature of

witnesses,

1. 1.

2. 2.

Place: Date:

Tο

The Controlling Authority. (Through the employer) [Name and address of the employer]

### For use by the employer

Received and recorded in this establishment.

Reference No.	Signature of the employer or officer authorised
---------------	---

<sup>\*</sup> Form D and E have become redundant because of proviso to sub-clause (ii) of clause (h) of section 2 of the Payment of Gratuity Act, 1872 has been omitted by the Payment of Gratuity Act, 1987 (22 of 1987), sec. 2 (w.e.f. 1-10-1987), Ed.

### The Payment of Gratuity (General) Rules, 1972 Date Seal or rubber stamp of the establishment Tο 1. .....(Employee) 2. The Controlling Authority. FORM 'F' [See sub-rule (1) of rule 6] **NOMINATION** To [Give here name or description of the establishment with full address] I, Shri/Shrimati/Kumari ...... whose particulars are given in the statement below, [Name in full here] hereby nominate the person(s) mentioned below to receive the gratuity payable after my death as also the gratuity standing to my credit in the event of my death before that amount has become payable, or having become payable has not been paid and direct that the said amount of gratuity shall be paid in proportion indicated against the name(s) of the nominee(s). 2. I hereby certify that the person(s) mentioned is a/are member(s) of my family within the meaning of clause (h) of section (2) of the Payment of Gratuity Act, 1972. 3. I hereby declare that I have no family within the meaning of clause (h) of section (2) of the said Act. 4. (a) My father/mother/parents is/are not dependant on me. (b) My husband's father/mother/parents is/are not dependant on my husband. 5. I have excluded my husband from my family by a notice dated the ...... to the Controlling Authority in terms of the proviso to clause (h) of section 2 of the said Act. 6. Nomination made herein invalidates my previous nomination. Nominee(s) Name in full with full Relationship with Age of Proportion by which the address of nominee (s) the employee nominee gratuity will be shared 1.

	The Payment of Gratuity (Ger	neral) Rules, 1972
2.		
3.		
so on.		
4 1	Statement	
	ame of employee in full	
2. Se		
3. Re	eligion	
	hether unmarried /married/ widow/ dower	
	epartment/Branch/Section where	
6. Po	ost held with Ticket or Serial No., if	
	ate of appointment	
8. Pe	ermanent address age/Thana/Sub-division/Post Office/ District/	
Place Date	·	Signature/Thumb impression of the employee
	Declaration by wit	nesses
Name in address (	on signed/thumb impressed before mo full and full of witnesses.	Signature of witnesses
1. 2.		1. 2.
z. Place:	Date:	۷.
-	Certificate by the e	mployer
Certified that the particulars of the above nomination have been verified and recorded in this establishment.  Employer's Reference No., if any		
•	e of the employer/ officer	
•	ed	

The Payment of Gratuity (General) Rules, 1972		
Designation		
_	Name and address of the establishment rubber stamp thereof.  ment by the employee  mination in Form 'F' filed by me and duly	
certified by the employer.	mination in Form 'F' filed by me and duly	
Date	Signature of the employee	

### FORM 'G'

### [See sub-rule (3) of rule 6] FRESH NOMINATION

Manner of acquiring a "family"				
so	on.			
3.				
2.				
1.				
	minee (s) the employee nominee gratuity will be shared			
Na	me in full with full Relationship with Age of Proportion by which the address of			
Nominee(s)				
	of section 2 of the said Act.			
	to the controlling authority in terms of the proviso to clause (h)			
4.	I have excluded my husband from my family by a notice dated the			
	(b) My husband's father/mother/parents is/are not dependant on my husband.			
3.	(a) My father/mother/parents is/are not dependant on me.			
	within the meaning of clause (h) of section 2 of the said Act.			
2.	I hereby certify the person(s) nominated is a/are member(s) of my family			
	in proportion indicated against the name(s) of the nominee(s).			
	payable has not been paid direct that the said amount of gratuity shall be paid			
	of my death before that amount has become payable, or having become			
	payable after my death as also the gratuity standing to my credit in the event			
	nominate afresh the person(s) mentioned below to receive the gratuity			
	the			
	clause (h) of section (2) of the Payment of Gratuity Act, 1972 with effect from			
٠.	given in the statement below, have acquired a family within the meaning of			
1.				
[Gi	ve here name or description of the establishment with full Address]			
10				

### Statement

[Here give details as to how a family was acquired, i.e., whether by marriage or

parents being rendered dependant or through other process like adoption].

	The Payment of Gratuity (Ger	neral) Rules, 1972	
1.	Name of employee in full		
2.	Sex		
3.	Religion		
4.	Whether unmarried /married/ widow/ widower		
5.	Department/Branch/Section where employed		
6.	Post held with Ticket or Serial No., if any		
7.	Date of appointment		
8.	Permanent address Village/Thana/Sub-division/Post Office/ District/ State		
Place Date		Signature/Thumb impression of the employee	1
	Declaration by wit	nesses	
Fresh	nomination signed/thumb impressed bef		
Name	e in full and full	Signature of witnesses	
addre	esses of witnesses.		
1.	1.		
2.	2.		
Place	: Date:		
	Certificate by the e	mployer	
Certifi	ied that the particulars of the above nomi	nation have been verified and	
record	ded in this establishment.		
Emplo	oyer's reference	No.,	if
any			
Signa	ture of the employer/ officer authorised D	esignation.	
Date		Name and address of the establishment or rubber	
stamp	)	thereof.	
	Acknowledgement by t	he emplovee	
Recei	ved the duplicate copy of the nomination	• •	
	1 duly certified	-	
5 51	acij solimos	,	
Date	Si	gnature of the employer	

# FORM 'H' [See sub-rule (4) of rule 6] MODIFICATION OF NOMINATION

То		
[C	Give here name or description of the establishment	with full address]
	ri/Shrimati/Kumari[Name ii	n full here] whose particulars are
given		
	e statement below, hereby give notice the	•
-	and recorded under your reference No.	dated snaii
	I modified in the following manner:	
[Here	give details of the modifications intended]	
	Statement	
1.	Name of employee in full	
2.	Sex	
3.	Religion	
4.	Whether unmarried /married/ widow/ widower	
5.	Department/Branch/Section where employed	
6.	Post held with Ticket or Serial No., if any	
7.	Date of appointment	
8.	Permanent address Village/Thana/Sub-division/Post Office/ District/ State	
Place Date		Signature/Thumb impression of the employee
	Declaration by with	tnesses
Modi	fication of nomination signed/thumb impre	essed before me.
	e in full and full	Signature of witnesses,
	ess of witnesses.	
1.	1.	
2.	2.	
Place	o. Date.	

### Certificate by the employer

Certified that the above modification have been recorded.

Empl empl	loyer's reference No., if any.	Signature of the
Cilipi	oyen	officer authorised
Desig	gnation	Name and address of the
stam	p	establishment or rubber thereof.
	Acknowledgement by	the employee
	ived the duplicate copy of the notice for duly certified by the employer.	modification in Form 'H' filed by me
Date		Signature of the
empl	oyee	
Note	: Strike out the words not applicable.	
То	FORM 'I' [See sub-rule (1) APPLICATION OF GRATUIT'	of rule 7]
[Give	here name or description of the establishmen	t with full address]
I beg of so supe years due t	entlemen, to apply for payment of gratuity to whice ection 4 of the Payment of Gratuit rannuation/ retirement/resignation after s of continuous service/ total disablement to disease with effect from the	ty Act, 1972 on account of my completion of not less than five nt due to accident/total disablemen Necessary particulars relating to an in the statement below:
1.	Name in full	
2.	Address in full	
_ <del>_</del> -	/ war ood iii iuli	

	The Payment of Gratuity (General) Rules, 1972	
3.	Department/Branch/Section where last employed	
4.	Post held with Ticket No. or Serial No., if any	
5.	Date of appointment	
6.	Date and cause of termination of service	
7.	Total period of service	
8.	Amount of wages last drawn	
9.	Amount of gratuity claimed	

I was rendered totally disabled as a result of [Here give the details of the nature of disease or accident]

The evidences/witnesses in support of my total disablement are as follows: [Here give details]

Payment may please be made in cash/open or crossed bank cheque.

As the amount of gratuity payable is less than rupees one thousand, I shall request you to arrange for payment of the sum due to me by Postal Money Order at the address mentioned above after deducting postal money order commission therefrom.

Yours faithfully,

Place Signature/Thumb impression of Date the applicant employee.

Note: 1. Strike out the words not applicable.

2. Strike out paragraph or paragraphs not applicable.

### FORM 'J'

## [See sub-rule (2) of rule 7] APPLICATION FOR GRATUITY BY A NOMINEE

_	ve here the name or description of the establishmerentlemen,	nt with full address]
I beg to of section [name the	to apply for payment of gratuity to which I tion 4 of the Payment of Gratuity Act, 197 of the employee] who was an employee of	2 as a nominee of latef your establishment and died on
in ser of/ res accide	vice/superannuation of the aforesaid employee on signation of the aforesaid employee on years of service/total disablement of the or disease while in service with effect ulars relating to my claim given in the state	oloyee on retirement after completion of the aforesaid employee due to from the Necessary
	Statement	
1.	Name of applicant nominee.	
2.	Address in full of the applicant nominee.	
3.	Marital status of the applicant nominee (unmarried/married/widow/widower)	
4.	Name in full of the employee.	
5.	Marital status of employee.	
6.	Relationship of the nominee with employee.	
7.	Total period of service of the employee.	

Date of appointment of the employee.

of the employee.

employee last worked.

Date and cause of termination of service

Department/Branch/Section where the

8.

9.

10.

	The Payment of Gratuity (General) Rules, 1972	
11.	Post last held by the employee with Ticket or Serial No., if any.	
12.	Total wages last drawn by the employee.	
13.	Date of death and evidence/witness as proof of death of the employee.	
14.	Reference No. of recorded nomination, if available.	
15.	Total gratuity payable to the employee.	
16.	Share of gratuity claimed.	

- 2. I declare that the particulars mentioned in the above statement are true and correct to the best of my knowledge and belief.
- 3. Payment may please be made in cash/crossed or open bank cheque.
- 4. As the amount payable is less than rupees one thousand, I shall request you to arrange for payment of the sum due to me by Postal Money Order at the address mentioned above after deducting Postal Money Order commission therefrom.

Yours faithfully,

Place

Signature/Thumb impression of applicant nominee.

Date

Note: 1. Strike out the words not applicable.

2. Strike out the paragraph or paragraphs not applicable.

# FORM 'K' [See sub-rule (3) of rule 7] APPLICATION FOR GRATUITY BY A LEGAL HEIR

of section 4 of the Payment of Gratuity Act, 1972 as a legal heir of late

То
[Give here the name or description of the establishment with full address]
Sir/Gentlemen,
I beg to apply for payment of gratuity to which I am entitled under sub-section (1)

	The Payment of Gratuity (Generation 1997)	ral) Rules, 1972
is pay super resign accid	Iname of the employee] who dishment and died on the without make yable on account of the death of the aforesaid employee on the fation of the aforesaid employee on the years of service/total disablement of the disease while in service with effect fatilities are given in the service relating to my claim are given in the service.	king any nomination. The gratuit esaid employee while in service the retirement of the aforesaid employee due to the aforesaid employee due to the the
	Statement	
1.	Name of applicant legal heir.	
2.	Address in full of applicant legal heir.	
3.	Marital status of the applicant legal heir (unmarried/married/widow/ widower)	
4.	Name in full of the employee.	
5.	Relationship of the applicant with the employee.	
6.	Religion of both the applicant and the employee.	
7.	Date of appointment and total period of service of the employee.	
8.	Department/Branch/Section where the employee worked last.	
9.	Post last held by the employee with Ticket or Serial No., if any.	
10.	Total wages last drawn by the employee.	
11.	Date and cause of termination of service of the employee (death or otherwise).	
12.	Date of death of the employee and evidence/witness in support thereof.	
13.	Total gratuity payable to the employee.	
14.	Percentage of the gratuity claimed.	
15.	Basis of the claim and evidence/witness	

The Payment of Gratuity (General) Rules, 1972			
in supp	oort thereof.		

- 2. I declare that the particulars mentioned in the above statement are true and correct to the best of my knowledge and belief.
- 3. Payment may please be made in cash/open or crossed bank cheque.
- 4. As the amount payable is less than rupees one thousand, I shall request you to arrange for payment of the sum due to me by Postal Money Order at the address mentioned above, after deducting Postal Money Order commission therefrom.

Yours faithfully,

Place Date

To

Signature/Thumb impression of applicant legal heir.

*Note:* Strike out the words not applicable.

# FORM 'L' [See clause (i) of sub-rule (1) of rule 8] NOTICE FOR PAYMENT OF GRATUITY

	[Name and address of the applicant employee/nominee/legal heir]
	You are hereby informed as required under clause (i) of sub-rule (1) of rule 8
	of the Payment of Gratuity (Central) Rules, 1972 that a sum of Rs
	(Rupees) is payable to you as gratuity/as your share of gratuity in
	terms of nomination made by on and and
	recorded in this as a legal heir of an employee of this establishment.
2.	Please call at [date] at for collecting
	[Here specify place] [time] your payment in cash/open or crossed cheque.
3.	Amount payable shall be sent to you by Postal Money Order at the address given in your application after deducting the Postal Money Order commission as desired by you.

**Brief statement of calculation** 

- 1. Total period of service of the employee concerned: ...... years ...... months.
- 2. Wages Last drawn.
- 3. Proportion of the admissible gratuity payable in terms of nomination/as a legal heir.
- 4. Amount payable.

Place Date Signature of the employer/ Authorised Officer Name or description of establishment or rubber stamp thereof.

Copy to: The Controlling Authority:

Note: Strike out the words not applicable.

## FORM 'M' [See clause (ii) of sub-rule (1) of rule 8] NOTICE REJECTING CLAIM FOR PAYMENT OF GRATUITY

To

[Name and address of the applicant employee/nominee/legal heir]

You are hereby informed as required under clause (ii) of sub-rule (i) of rule 8 of the Payment of Gratuity (Central) Rules, 1972 that your claim for payment of gratuity as indicated on your application in Form ...... under the said rules is not admissible for the reasons stated below:

#### Reasons

[Here specify the reasons]

Place Date Signature of the employer/ Authorised Officer. Name or description of establishment or rubber stamp thereof.

Copy to: The Controlling Authority.

Note: Strike out the words not applicable.

### FORM 'N'

[See sub-rule (i) of rule 10]

### **APPLICATION FOR DIRECTION**

Ве	fore the controlling authority under the Payment of Gratuity Act, 1972.
Ар	plication No Date
	Between
	[Name in full of the applicant with full address]
	and
	[Name in full of the employer concerned with full address]
	The applicant is an employee of the above-mentioned employer's nominee of
	late an employee of the above mentioned employer's legal heir
	of late and employee of the above-mentioned employer, and is
	entitled to payment of gratuity under section 4 of the Payment of Gratuity Act,
	1972, on account of his own/ aforesaid employees superannuation on
	/his own retirement/
	[date]
	employee's resignation onafter completion of
	years of
	[date]
	continuous service/his own/aforesaid employee's total disablement with effect from [date] due to accident/disease/death of the aforesaid employee on
	nom [date] due to accident/disease/death of the aforesaid employee of
2.	The applicant submitted an application under rule of the
	Payment of Gratuity Act, 1972 on the but the above-
	mentioned employer refused to entertain it/issued a notice dated the
	under clauseof sub-rule of rule offering an
	amount of gratuity which is less than me due/issued a notice dated the
	under clause of sub-rule of rule
	rejecting my eligibility to payment of gratuity. The duplicate copy of the said
	notice is enclosed.
3.	The applicant submits that there is a dispute on the matter.
	[Specify the dispute]
4.	The applicant furnishes the necessary particulars in the annexure hereto and
	prays that the Controlling Authority may be pleased to determine the amount

- of gratuity payable to the petitioner and direct the above mentioned employer to pay the same to the petitioner.
- 5. The applicant declares that the particulars furnished in the annexure hereto are true and correct to the best of his knowledge and belief.

Date

Signature of the applicant/Thumb impression of the applicant

### **Annexure**

Name in full of applicant with full address.	
Basis of claim :	
[Death/Superannuation/Retirement/Resignation/	
Name and address in full of the employee.	
Marital status of the employee	
(unmarried/married/widow/widower)	
Name and address in full of the employer.	
Department/Branch/Section where the	
employee was employed [if known].	
Post held by the employee with Ticket or Serial	
No., if any [if known].	
Date of appointment of the employee [if known].	
Date and cause of termination of service of the	
employee, [superannuation/ retirement/	
resignation/ disablement/ death]	
Total period of service by the employee.	
Wages last drawn by the employee.	
If the employee is dead, date and cause thereof.	
Evidence/witness in support of death of the	
employee.	
If a nominee, No. and date of recording of	
nomination with the employer.	
Evidence/witness in support of being a legal	
heir, if a legal heir.	
	Basis of claim: [Death/Superannuation/Retirement/Resignation/ Disablement of employee].  Name and address in full of the employee.  Marital status of the employee (unmarried/married/widow/widower)  Name and address in full of the employer.  Department/Branch/Section where the employee was employed [if known].  Post held by the employee with Ticket or Serial No., if any [if known].  Date of appointment of the employee [if known].  Date and cause of termination of service of the employee, [superannuation/ retirement/ resignation/ disablement/ death]  Total period of service by the employee.  Wages last drawn by the employee.  If the employee is dead, date and cause thereof.  Evidence/witness in support of death of the employee.  If a nominee, No. and date of recording of nomination with the employer.  Evidence/witness in support of being a legal

	The Payment of Gratuity (General) Rules, 1972	
16.	Total gratuity payable to the employee [if known].	
17.	Percentage of gratuity payable to the applicant as a nominee/legal heir.	
18.	Amount of gratuity claimed by the applicant.	

Place: Signature/Thumb impression Date: of the applicant.

Note: Strike out the words not applicable.

### FORM 'O'

[See sub-rule (1) of rule 11]

### NOTICE FOR APPEARANCE BEFORE THE CONTROLLING AUTHORITY

From:

The Controlling Authority under the Payment of Gratuity Act, 1972.

employer, has/have filed an application under sub-rule (1) of rule 10 of the

Take notice that in default of your appearance on the day before mentioned the application will be dismissed/heard and determined in your absence.

Give under my hand and seal, this ...... day of ...... 20 .....

**Controlling Authority Note:** Strike out the words and paragraphs not applicable.

### FORM 'P' (See rule 14) SUMMONS

Be To	fore the Controlling Authority under the Payment of Gratuity Act, 1972.
	[Name and address]
	Whereas your attendance is required to give evidence/you are required to produce the documents mentioned in this list below, on behalf of
	in the case arising out of the claim for gratuity by from and referred to this Authority by an application under section 7
	of the Payment of Gratuity Act, 1972, you are hereby summoned to appear
	personally before this Authority on the day of20 at

#### **List of Documents**

o'clock in the forenoon/afternoon and to bring with you (or to send to this

- 1.
- 2.
- 3. so on Controlling Authority

Authority) the said documents.

Dated this	day of	20
Dateu IIIIS	uav 01	ZU

### Notes.—

- 1. The portion not applicable to be deleted.
- 2. The summons shall be issued in duplicate. The duplicate is to be signed and returned by the person served before the date fixed.
- In case summons is issued only for producing a document and not to give evidence, it will be sufficient compliance to the summons if the documents are caused to be produced before the controlling authority on the day and hour fixed for the purpose.

### FORM 'Q'

## [See sub-rule (1) of rule 19] PARTICULARS OF APPLICATION UNDER SECTION 7

1.	Serial No	
2.	Date of the Application	
3.	Name and address of the applicant	
4.	Name and address of the employer	
5.	Amount of gratuity claimed	
6.	Dates of hearing	
7.	Findings with date	
8.	Amount awarded	
9.	Cost, if any, awarded	
10.	Date of notice issued for payment of gratuity	
11.	Date of appeal, if any	
12.	Decision of the appellate authority	
13.	Date of issue of Final Notice for payment of gratuity	
14.	Date of payment of gratuity by Employer with mode of payment	
15.	Date of Receipt of application for recovery of Gratuity	
16.	Date of Issue of Recovery Certificate	
17.	Date of Recovery	
18.	Other remarks	
19.	Signed	
20.	Date	

## (See rule 17) NOTICE FOR PAYMENT OF GRATUITY

To	[Name and address o
emplo	
•	eas Shri/Smt/Kumari of (address
	mployee
undei	you/a nominee(s) legal heir(s) of late and employee
undei	you, filed an application under section 7 of the Payment of Gratuity Act
1972	before me;
And v	whereas the application was heard in your presence on and after the
hearii	ng 1 have come to the finding that the said Shri/Smt./ Kumar
	is entitled to a payment of Rs as gratuity under
the P	ayment of Gratuity Act, 1972;
Now,	therefore, I hereby direct to pay the said sum of Rs to
Shri/S	Smt./ Kumari within thirty days of the receipt of this notice with
an int	imation thereof to me.
Giver	under my hand and seal, this day of 20
Convitor	Controlling Authority
Copy to:	.4.
(Applican	rised to contact the employer for collecting payment. <i>Note.—</i> The portior
	cable to be deleted.
	FORM 'S'
	[See sub-rule (5) of rule 18]
NOTICE	FOR PAYMENT OF GRATUITY AS DETERMINED BY APPELLATE AUTHORITY
То	Admonth
[Nam	e and address of employer]
Wher	eas a notice was given to you on Form 'R' requiring you
	make a payment of Rs to Shri/Smt./Kumar
	as gratuity under the Payment of Gratuity Act, 1972;
Wher	eas you/the applicant went in appeal before the appellate authority, who
has	decided that an amount of Rs is due to be paid to

### The Payment of Gratuity (General) Rules, 1972 Shri/Smt./Kumari ...... as gratuity due under the Payment of Gratuity Act, 1972; Now, therefore, I hereby direct you to pay the said sum of Rs ...... to Shri/Smt./Kumari...... within 30 days of the receipt of this notice with an intimation thereof to me. Given under my hand and seal, this ...... day of......... 20..... Controlling Authority. Copy to: 1. The Applicant. He is advised to contact the employer for collecting payment. 2. The Appellate Authority. *Note.*— The portion not applicable to be deleted. FORM 'T' (See rule 19) APPLICATION FOR RECOVERY OF GRATUITY Before the Controlling Authority under the Payment of Gratuity Act, 1972. Application No...... Date ..... Between ..... [Name in full of the applicant with address] [Name in full of the employer with full address] The applicant is an employee of the above-mentioned employer/a nominee of late...... an employee of the above mentioned employer/a legal heir of

2. The applicant submits that the said employer failed to pay the said amount of gratuity to me as directed by you although I approached him for payment.

3. The applicant therefore prays that a certificate may be issued under section

.....

1972.

of the said Act for the recovery of the said sum of Rs...... due to me as gratuity in terms of your direction.

Place Signature/Thumb impression
Date of the applicant

# <sup>1</sup>[FORM 'U'] ABSTRACT OF THE ACT AND RULES

Extent of the Act.—The Act extends to the whole of India:
 Provided that insofar as it relates to plantations or ports, it shall not extend to the State of Jammu and Kashmir. [Section 1(2)]

2. To whom the Act applies.—The Act applies to (a) every factory, mine, oilfield, plantation, port and railway company; (b) every shop or establishment within the meaning of any law for the time being in force in relation to shops and establishments in State, in which ten or more persons are employed, or were employed, on any day of the preceding twelve months; and (c) such other establishment, or class of establishments, in which ten or more employees are employed, or were employed, on any day of the preceding twelve months, as the Central Government may, by notification, specify in this behalf. [Section 1(3)].

#### 3. Definitions.—

- (a) "Appropriate Government" means—
  - (i) in relation to an establishment;
    - (a) belonging to, or under the control of, the Central Government,
    - (b) having branches in more than one State,
    - (c) of a factory belonging to, or under the control of, the Central Government,
    - (d) of a major port, mine, oilfield or railway company, the Central Government, (ii) in any other case, the State Government. [Section 2(a)].
- (b) "Completed year of service" means continuous service for one year; [Section 2(b)].
- (c) "Continuous Service" means uninterrupted service and includes service which is interrupted by sickness, accident, leave, lay-off, strike or a lock-out or cessation of work not due to any fault of the employees concerned,

<sup>1</sup> Ins. G.S.R. 2868, dated 22nd November, 1975

whether such uninterrupted or interrupted service was rendered before or after the commencement of this Act.

**Explanation I.**—In the case of an employee who is not in uninterrupted service for one year, he shall be deemed to be in continuous service if he has been actually employed by an employer during the twelve months immediately preceding the year for not less than—

- (i) 190 days, if employed below the ground in a mine, or
- (ii) 240 days, in any other case, except when he is employed in seasonal establishment.

**Explanation II.** — An employee of a seasonal establishment shall be deemed to be in continuous service if he has actually worked for not less than seventy-five per cent of the number of days on which the establishment was in operation during the year. [Section 2(d)].

- (d) "Controlling authority" means an authority appointed by an appropriate Government under section 3. [Section 2(d)].
- (e) "family", in relation to an employee, shall be deemed to consist of
  - (i) in the case of a male employee, himself, his wife, his children, whether married or unmarried, his dependant parents and the widow and children, of his predeceased son, if any,
  - (ii) in the case of a female employee, herself, her husband, her children, whether married or unmarried, her dependant parents and the dependant parents of her husband and the widow and children of her predeceased son, if any:

Provided that if a female employee, by a notice in writing to the controlling authority, express her desire to exclude her husband from her family, the husband and his dependant parents shall no longer be deemed for the purposes of this Act, to be included in the family of such female employee unless the said notice is subsequently withdrawn by such female employee.

**Explanation.** — Where the personal law of an employee permits the adoption by him of a child, any child lawfully adopted by him shall be deemed to be included in his family, and where a child of an employee has been adopted by another person and such adoption is, under the personal law of the person making such adoption, lawful, such child shall be deemed to be excluded from the family of the employee. [Section 2(h)].

#### 4. Nomination. —

- (1) Each employee, who has completed one year of service, after the commencement of the Payment of Gratuity (Central) Rules, 1972, shall make within thirty days of completion of one year of service, a nomination [Section 6(1) read with Rule 8, 6(1)].
- (2) If an employee has a family at the time of making a nomination the nomination shall be made in favour of one or more members of his family and any nomination made by such employee in favour of a person who is not member of his family shall be void. [Section 6(3)].
- (3) If at the time of making a nomination, the employee has no family, the nomination can be made in favour of any person or persons, but if the employee subsequently acquires a family, such nomination shall forthwith become invalid and the employee shall make within 90 days a fresh nomination in favour of one or more members of this family. [Section 6(4) read with rule 6(3)].
- (4) A nomination or a fresh nomination or a notice of modification of nomination shall be signed by the employee or, if illiterate, shall bear his thumb impression in the presence of two witnesses, who shall also sign declaration to that effect in that nomination, fresh nomination or notice of modification of nomination as the case may be. [Rule 6(5)].
- (5) A nomination may, subject to the provisions of sub-sections (3) and (4) of section 6 be modified by an employee any time after giving to his employer a written notice of his intention to do so. [Section 6(5)].
- (6) A nomination or fresh nomination or notice of modification of nomination shall take effect from the date of receipt of the same by the employer. [Rule 6(6)].

#### 5. Application for gratuity.—

(1) An employee who is eligible for payment of gratuity under the Act, or any person authorised, in writing, to act on his behalf, shall apply ordinarily within thirty days from the date of gratuity became payable:

Provided that where the date of superannuation or retirement of an employee is known, the employee may apply to the employer before thirty days of the date of superannuation or retirement. [Rule 7(1)].

(2) A nominee of an employee who is eligible for payment of gratuity shall apply, ordinarily within thirty days from the date the gratuity became payable to him, to the employer. [Rule 7(2)].

- (3) A legal heir of an employee who is eligible for payment of gratuity shall apply, ordinarily within one year from the date the gratuity became payable to him, to the employer. [Rule 7(3)].
- (4) An application for payment of gratuity filed after the expiry of the periods specified above shall also be entertained by the employer if the applicant adduces a sufficient cause for the delay. [Rule 7(5)].

#### 6. Payment of gratuity.—

- (1) Gratuity shall be payable to an employee on the termination of his employment after he has rendered continuous service for not less than five years—
  - (a) on his superannuation, or
  - (b) on his retirement or registration, or
  - (c) on his death or disablement due to accident or disease:

Provided that the completion of continuous service of five years shall not be necessary where the termination of the employment of any employee is due to death or disablement:

Provided further that in case of death of the employee, gratuity payable to him shall be paid to his nominee or, if no nomination has been made, to his heirs, and where any such nominees or heirs is a minor the shares of such minor, shall be deposited with the controlling authority who shall invest the same for the benefit of such minor in such bank or other financial institution, as may be prescribed, until such minor attains majority.

Disablement means such disablement which incapacitates an employee for the work which he was capable of performing before the accident or disease resulting in such disablement. [Section 4 (1)].

(2) For every completed year of service or part thereof in excess of six months, the employer shall pay gratuity to an employee at the rate of fifteen days' wages based on the rate of wages last drawn by the employee concerned:

Provided that in the case of a piece-rated employee, daily wages shall be computed on the average of the total wages received by him for a period of three months immediately preceding the termination of his employment, and, for this purpose, the wages paid for any overtime work shall not be taken into account:

Provided further that in the case of an employee employed in seasonal establishment, the employer shall pay the gratuity at the rate of seven days' wages for each season. [Section 4 (2)].

**Explanation.** —In case of a monthly rated employee, the fifteen days' wages shall be calculated by dividing the monthly rate of wages last drawn by him by twenty-six and multiplying quotient by fifteen.

(3) The amount of gratuity payable to an employee shall not exceed twenty months wages. [Section 4 (3)].

#### 7. Forfeiture of gratuity.—

- (1) The gratuity of an employee, whose services have been terminated for any act, willful omission or negligence causing any damage or loss to, or destruction of, property belonging to the employer, shall be forfeited to the extent of the damage or loss so caused;
- (2) The gratuity payable to an employee shall be wholly forfeited—
  - (a) If the services of such employee have been terminated for his riotous or disorderly conduct or any other act of violence on his part, or
  - (b) If the services of such employee have been terminated for any act which constitutes an offence involving moral turpitude, provided that such offence is committed by him in the course of his employment.[Section 4 (6)].

#### 8. Notice of opening, change or closure of the establishment.—

- (1) A notice shall be submitted by the employer to the controlling authority of the area within thirty days of any change in the name, address, employer or nature of business.[Rule 3 (2)].
- (2) Where an employer intends to close down the business he shall submit a notice to the controlling authority of the area at least sixty days before the intended closure. [Rule 3 (3)].

#### 9. Application to Controlling Authority for direction.—If an employer—

- (i) refuses to accept a nomination or to entertain an application for payment of gratuity, or
- (ii) issues a notice either specifying an amount of gratuity which is considered by the applicant less than what is payable or rejecting eligibility to payment of gratuity, or
- (iii) having received an application for payment of gratuity, fails to issue notice within fifteen days; the claimant employee, nominee, or legal heir, as the

case may be, may within ninety days of the occurrence of the cause for the application, apply to the controlling authority for issuing a direction under sub-section (4) of section 7 with as many extra copies as are the opposite party:

Provided that Controlling Authority may accept any application on sufficient cause being shown by the applicant after the expiry of the period of ninety days. [Rule 10].

- 10. Appeal.—Any person aggrieved by an order of the controlling authority may, within sixty days from the date of the receipt of the order, prefer an appeal to the Regional Labour Commissioner (Central) of the area who has been appointed as the appellate authority by the Central Government:
  Provided that the appellate authority may, if it is satisfied that the appellant was prevented by sufficient cause from preferring the appeal within the said period of sixty days, extend the said period by a further period of sixty days. [Section 7(7)].
- 11. Machinery for enforcement of the Act or Rules in Central spheres.—All Assistant Labour Commissioners (Central) have been appointed as Controlling Authorities and all the Regional Labour Commissioners (Central) Appellate Authorities.
- 12. Powers of the Controlling Authority.—The Controlling Authority for the purpose of conducting an inquiry as to the amount of gratuity payable to an employee or as to the admissibility of any claim of, or in relation to, an employee for payment of gratuity, or as to the person entitled to receive the gratuity, shall have the same powers as are vested in court, under the Code of Civil Procedure, 1908 in respect of the following matters, namely:—
  - (a) enforcing the attendance of any person or examining him on oath;
  - (b) requiring the discovery and production of documents;
  - (c) receiving evidence on affidavits; and
  - (d) issuing commissions for the examination of witnesses. [Section 7(5)].
- 13. Recovery of gratuity.—If the amount of gratuity payable is not paid by the employer, within the prescribed time, to the person entitled thereto the controlling authority shall, on an application made to it in this behalf by the aggrieved person, issue a certificate for that amount to the Collector, who shall recover the same together with compound interest thereon at the rate of nine per cent per annum, from the date of expiry of the prescribed time, as

arrears of land revenue and pay the same to the person entitled thereto. [Section 8].

- **14. Protection of gratuity.**—No gratuity payable under the Payment of Gratuity Act and rules made thereunder shall be liable to attachment in execution of any decree or order of any civil, revenue or criminal court [Section 13].
- 15. Penalties for offences.—
  - (1) Whoever, for the purpose of avoiding any payment to be made by himself or of enabling any other person to avoid such payment, knowingly makes or causes to be made any false statement or false representation shall be punishable with imprisonment for a term which may extend to six months, or with fine which may extend to one thousand rupees, or with both. [Section 9(1)].
  - (2) An employer who contravenes, or makes default in complying with, any of the provisions of the Act or any rule or order made thereunder shall be punishable with imprisonment for term which may extend to one year, or with fine which may extend to one thousand rupees, or with both:

Provided that if the offence relates to non-payment of any gratuity payable under the Payment of Gratuity Act, the employer shall be punishable with imprisonment for a term which shall not be less than three months unless the court trying the offence, for reasons to be recorded by it in writing, is of opinion that a lesser term of imprisonment or the imposition of a fine would meet the ends of justice. [Section 9(2)].

- **16. Display of notice.**—The employer shall display conspicuously a notice at or near the main entrance of the establishment in bold letters in English and in the language understood by the majority of the employees specifying the name of the officer with designation authorised by the employer to receive on his behalf notices under the Payment of Gratuity Act or the rules made thereunder. [Rule 4].
- 17. Display of abstract of the Act and Rules.—The employer shall display an abstract of the Payment of Gratuity Act and the rules made thereunder in English and in the language understood by the majority of the employees at a conspicuous place at or near the main entrance of the establishment. [Rule 20].

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# 11 (3) THE HARYANA PAYMENT OF GRATUITY RULES, 1972

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# THE HARYANA PAYMENT OF GRATUITY RULES, 1972 Notification No. G.S.R.22/C.A.38/72/S.15/73 Dated the 9th February 1973

In exercise of the powers conferred by sub Section (1) of section 15 of the Payment of Gratuity Act, 1972(38 of 1972) the Governor of Haryana hereby makes the following rules, namely:-

#### 1. Short title and commencement -

- (1) These rules may be called the Haryana Payment of Gratuity Rules, 1972,
- (2) These rules shall come into force at once.
- 2. **Definitions -** In these rules, unless there is anything repugnant in the subject or context :-
  - (a) "Act" means the Payment of Gratuity Act, 1972;
  - (b) "Appellate authority" means the State Government or the authority specified by the State Government under sub-section (7) of section 7;
  - (c) "Form" means a form appended to these rules;
  - (d) "nomination" means nomination made under section 6;
  - (e) "**section**" means a section of the Act.

#### 3. Notice of opening, change or closure of the establishment -

- (1) Within thirty days of the rules becoming applicable to an establishment, a notice in Form 'A' shall be submitted by the employer to the controlling authority of the area.
- (2) A notice in **Form** 'B' shall be submitted by the employer to the controlling authority of the area within thirty days of any change in the name, address, employer or nature of business.
- (3) Where an employer intends to close down the business, he shall submit a notice in Form 'C' to the controlling authority of the area at least sixty days before the intended closure.

#### 4. Display of Notice -

(1) The employer shall display conspicuously a notice at or near the main entrance of the establishment in bold letters in English and Hindi specifying the name of the officer with designation authorised by the employer to receive on his behalf notices under the Act or the rules.

(2) A fresh notice shall be displayed immediately after the notice referred to in sub-rule (1) becomes illegible or requires a change.

#### 5. Form of notice under proviso to section 2(h) (ii) -

- (1) A notice under the proviso to sub-clause (ii) of clause (h) of section 2 shall be in Form 'D' and sent in triplicate by the employee to the employer, who shall, after recording its receipt on one copy thereof, return the copy to the employee and send the second copy to the controlling authority of the area.
- (2) An employee may withdraw the notice referred to in sub-rule (1) by giving another notice in triplicate in **Form** 'E' to the employer, who shall follow the same procedure as in sub-rule (1).

#### 6. Nominations -

- (1) A nomination shall be in **Form** '**F**' and submitted in duplicate by personal service by the employee, after taking proper receipt or by sending through registered post acknowledgement due to the employer: -
  - (i) in the case of an employee who is already in employment for a year or more on the date of commencement of these rules, ordinarily, within ninety days from such date; and
  - (ii) in the case of an employee who completes one year of service after the date of commencement of these rules, ordinary, within thirty days of the completion of one year of service:-

**Provided** that nomination in **Form** '**F**' shall be accepted by the employer after the specified period, if filed with reasonable grounds for delay, and no nomination so accepted shall be invalid merely because it was filed after the specified period.

(2) Within thirty days of the receipt of a nomination in Form 'F' under sub-rule (1), the employer shall get the service particulars of the employee, as mentioned in the form of nomination, verified with reference to the records of the establishment and return to the employees, after obtaining a receipt thereof, the duplicate copy of the nomination in Form 'F' duly attested either by the employer or an officer authorised in this behalf by him as a token of recording of the nomination by the employer and the other copy of the nomination shall be recorded.

- (3) An employee who has no family at the time of making a nomination shall, within ninety days of acquiring a family submit in the manner specified min sub- rule (1), a fresh nomination, as required under sub-section (4) of section 6, in duplicate in **Form 'G'** to the employer, and thereafter the provision of sub-rule(2) shall apply mutatis mutandis as if it was made under sub-rule (1).
- (4) A notice of modification of a nomination, including cases where a nominee predeceases an employee shall be submitted in duplicate in **Form 'H'** to the employer in the manner specified in sub-rule (1), and there after the provisions of sub-rule (2) shall apply mutatis mutandis as if it was made under sub-rule (1).
- (5) A nomination or a fresh nomination or a notice of modification of nomination shall be signed by the employee or, if illiterate, shall bear his thumb-impression, in the presence of two witnesses, who shall also sign a declaration to that effect in the nomination, fresh nomination or notice of modification of nomination, as the case may be.
- (6) A nomination, fresh nomination or notice of modification of nomination shall take effect from the date of receipt thereof by the employer.

#### 7. Application for gratuity -

- (1) An employee who is eligible for payment of gratuity under the Act, or any person authorised, in writing, to act on his behalf, shall apply, ordinarily within thirty days from the date the gratuity became payable, in Form 'I' to the employer-
  - **Provided** that where the date of superannuation or retirement of an employee is known, the employee may apply to the employer before thirty days of the date of superannuation or retirement.
- (2) A nominee of an employee who is eligible for payment of gratuity under the second proviso to sub-section (1) of section 4 shall apply, ordinarily within thirty days from the date the gratuity became payable to him, in Form 'J' to the employer:
  - Provided that an application in plain paper with relevant particulars shall also be accepted. The employer may obtain such other particulars as may be deemed necessary by him.

- (3) A legal heir of an employee who is eligible for payment of gratuity under the second proviso to sub-section (1) of section 4 shall apply, ordinarily within one year from the date the gratuity became payable to him, a Form 'K' to the employer.
- (4) Where gratuity becomes payable under the Act before the commencement of these rules, the periods of limitation specified in subrules (I), (2) and(3) shall be deemed to be operative from the date of such commencement
- (5) An application for payment of gratuity filed after the expiry of the periods specified in this rule shall also be entertained by the employer, if the applicant adduces sufficient cause for the delay in preferring his claim, and no claim for gratuity under the Act shall be invalid merely because the claimant failed to present his application within the specified period. Any dispute in this regard shall be referred to the controlling authority for his decision.
- (6) An application under this rule shall be presented to the employer either by personal service or by registered post acknowledgement due.

#### 8. Notice for payment of gratuity -

- (1) Within fifteen days of the receipt of an application under rule 7 for payment of gratuity, the employer shall: -
  - (i) if the claim is found admissible on verification, issue a notice in Form 'L' to the applicant employee, nominee or legal heir, as the case may be, specifying the amount of gratuity payable and fixing a date, not being later than the thirtieth day after the date of receipt to the application, for payment therefore; or
  - (ii) if the claim for gratuity is not found admissible, issue a notice in Form 'M' to the applicant employee, nominee or legal heir as the case may be, specifying the reasons why the claim for gratuity is not considered admissible.

In either case a copy of the notice shall be endorsed to the controlling authority.

(2) In case of payment of gratuity is due to be made in the employer's office, the date fixed for the purpose in the notice in Form 'L' under clause (i) of sub rule (1) shall be re-fixed by the employer if a written application in this

- behalf is made by the payee explaining why it is not possible for him to be present in person on the date specified.
- (3) If the claimant for gratuity is a nominee or a legal heir, the employer may ask for such witness or evidence as may be deemed relevant for establishing his identity or maintainability of his claim as the case may be. In that case the time limit specified for issuance of notice under sub-rule (1) shall be operative with effect from the date such witness or evidence as the case may be, called for by the employer is furnished to the employer.
- (4) A notice in **Form 'L' or Form 'M'** shall be served on the applicant either by personal service after taking receipt or by registered post with acknowledgement due.
- (5) A notice under sub section (2) of section 7 shall be in Form 'L'.
- **9. Mode of payment of gratuity -** The gratuity payable under the Act shall be paid in cash or, if so desired by the payee, in Demand Draft or bank cheque to the eligible employee, nominee or legal heir, as the case maybe;
  - **Provided** that in case the eligible employee, nominee or legal heir, as the case may be, so desires and the amount of gratuity payable is less than one thousand rupees, payment may be made by postal money order after deducting the postal money order commission therefore from the amount payable:

**Provided** further that intimation about the details of payment shall also be given by the employer to the controlling authority of the area.

#### 10. Application to controlling authority for direction -

- (1) If an employer -
  - (i) refuses to accept a nomination or to entertain an application sought to be filed under rule 7; or
  - (ii) issues a notice under sub-rule(1) of rule 8 either specifying amount of gratuity which is considered by the applicant less than what is payable or rejecting eligibility to payment of gratuity; or
  - (iii) having received an application under rule 7 fail to issue any notice as required under rule 8 within the time specified therein, the claimant employee, nominee or legal heir, as the case may be, may, within

ninety days of the occurrence of the cause for the application, apply in **Form 'N'** to the controlling authority for issuing a direction under subsection (4) of section 7 with as many extra copies as are the opposite party:

**Provided** that the controlling authority may accept any application under this Sub-rule, on sufficient cause being shown by the applicant, after the expiry of the specified period

(2) Application under sub-rule(I) and other documents relevant to such an application shall be presented in person to the controlling authority or shall be sent by registered post acknowledgement due.

#### 11. Procedure for dealing with application for direction -

- (1) On receipt of an application under rule I0 the controlling authority shall, by issuing a notice in Form 'O' call upon the applicant as well as the employer to appear before him on a specified date, time and place, either by himself or through his authorised representative together with all relevant documents and witnesses, if any.
- (2) Any person desiring to act on behalf of an employer or employee, nominee or legal heir, as the case may be, shall present to the controlling authority a letter of authority from the employer or the person concerned, as the case may be, on whose behalf he seeks to act together with a written statement explaining his interest in the matter and praying for permission so to act. The controlling authority shall record thereon an order either according his approval or specifying, in the case or refusal to grant the permission prayed for, the reasons for the refusal.
- (3) A party appearing by an authorised representative shall be bound by the acts of the representative.
- (4) After completion of hearing on the date fixed under sub-rule (1) or after such: further evidence, examination of documents, witnesses, hearing and enquiry, as may be deemed necessary, the controlling authority shall record his finding as to whether any amount is payable to the applicant under the Act. A copy of the finding shall be given to each of the parties.
- (5) If the employer concerned fails to appear on the specified date of hearing after due service of notice without sufficient cause, the controlling authority may proceed to hear and determine the application ex-parte. If

the applicant fails to appear on the specified date of hearing without sufficient cause, the controlling authority may dismiss the application.

**Provided** that an order under this sub-rule may, on good cause being shown with in thirty days of the said order, be reviewed and the application re-heard after giving not less than fourteen day's notice to the opposite party of the date fixed for re-hearing of the application.

- **12. Place and time of hearing -** The sittings of the controlling authority shall be held at such times and at such places as he may fix and he shall inform the parties of the same in such manner as he thinks fit.
- **13. Administration of oath** The controlling authority may authorise an official of his office to administer oaths for the purpose of making affidavits.
- 14. Summoning and attendance of witnesses The controlling authority may at any stage of the proceedings before him, either upon or without an application by any of the parties involved in the proceeding before him and on such terms as may appear to the controlling authority just issue summons to any person in Form 'P' either to give evidence or to produce documents or for both purposes on a specified date, time and place.

#### 15. Service of summons or notice -

- (1) Subject to the provision of sub-rule (2) any notice, summons, process or order issued by the controlling authority may be served either personally or by registered post acknowledgement due or in any other manner as prescribed under the Code of Civil Procedure, 1908 (Act 5 of 1908).
- (2) Where there are numerous persons as parties to any proceedings before the controlling authority and such persons are members of any trade union or association or are represented by an authorised person the service of notice on the Secretary/General Secretary or where there is no Secretary General Secretary, on the President of the trade union of association, or on the authorised person shall be deemed to be service on such persons.

#### 16. Maintenance of records of cases by the controlling authority-

- (1) The controlling authority shall record the particulars of each case under section 7 in form 'Q' and at the time of passing order shall sign and date the particulars so recorded.
- (2) The controlling authority shall while passing order in each case, also record the findings on the merits of the case and file it together with the memoranda of evidence with the order sheet.
- (3) Any record, other than a record of any order of direction which is required by these rules to be signed by the controlling authority, may be signed on behalf of and under the direction of the controlling authority by any subordinate officer appointed in witting for this purpose by the controlling authority.
- 17. Direction of payment of gratuity If a finding is recorded under sub-rule (4) of rule 11 that the applicant is entitled to payment of gratuity under the Act, the controlling authority shall issue the notice to the employer concerned in Form 'R' specifying the amount payable and directing payment there of to the applicant under intimation to the controlling authority with in thirty days from the receipt of the notice by the employer. A copy of the notice shall be endorsed to the applicant employee, nominee or legal heir, as the case may be.

#### 18. Appeal -

- (1) The memorandum of appeal under sub-section (7) of section 7 of the Act shall be submitted to the appellate authority with a copy there of the opposite party and the controlling authority either through delivery in person or under registered post acknowledgement due.
- (2) The memorandum of appeal shall contain the facts of the case the decision of the controlling authority the grounds of appeal and the relief sought.
- (3) There shall be appended to the memorandum of appeal a certified copy of the findings of the controlling authority and direction for payment of gratuity.
- (4) On receipt of the copy of memorandum of appeal the controlling authority shall forward records of the case to the appellate authority.

- (5) With in 14 days of the receipt of the copy of the memorandum of the appeal the opposite party shall submit his comments on a each paragraph of the memorandum with additional pleas, if any, to the appellate authority with a copy to the appellant.
- (6) The appellate authority shall record its decision after giving the parties to the appeal a reasonable opportunity of being heard. A copy of the decision shall be given to the parties to the appeal and a copy thereof shall be sent to the controlling authority: returning his records of the case.
- (7) The controlling authority shall, on receipt of the appellate authority make necessary entry in the records of the case maintained in **Form 'Q'** under sub-rule (I) of rule 16.
- (8) On receipt of the decision of the appellate authority, the controlling authority shall, if required under that decision, modify his detection for payment of gratuity and s issue a notice to the employer concerned in Form 'S' specifying the modify amount payable and directing payment thereof to the applicant, under intimation to the controlling authority within fifteen days of the receipt of the notice by the employer. A; copy of the notice shall be endorsed to the applicant employee, nominee or legal heir, as the case may be and to the appellate authority.
- 19. Application for recovery of gratuity Where an employer fails to pay the gratuity due under the Act in accordance with the notice by the controlling authority under rule 1 7 or rule 1 8, as the case may be, the employee, concerned his nominee or legal heir, as the case may be, to whom the gratuity is payable may apply to the controlling authority in duplicate in Form 'T' for recovery thereof under section 8 of the Act.
- **20. Display of abstract of the Act and rules -** The employer shall display an abstract of the Act and the rules made there under <sup>1</sup>[as given in **Form 'U**']in English and in Hindi at a conspicuous place at or near the main entrance of the establishment.

<sup>1</sup> Inserted vide Hr. Govt. Notification No.Gsr- 263/CA 39/72/S-15/Amd (1) 76, dated 3-12-1976

#### **Notification Power Delegation**

In exercise of the powers conferred by sections of the payment of Gratuity Act, 1972 the Govt. of Haryana has declared all the Labour Officers-cum-Conciliation Officers as the Controlling Authority in their respective areas of jurisdiction for the purpose of the aforesaid Act, vide Notification No. S.O./101/CA3B/72/S.3/78, dated 14-7-78.

In the exercise of the powers conferred by sub section of Section 7 of Payment of Gratuity Act, 1972 the Govt. of Haryana has specified the Joint Labour Commissioner, Haryana to be the **Appellate Authority** for the whole of the State of Haryana for the purpose of this Act vide Notification No. 12(93)80-3 Lab dated 2.5.1980 (Haryana Govt. Gazette Page 825, dated, 5.5.1980).

# FORM "A" [See sub-rule (1) of rule 3] Notice of opening

Name and address of the Establishment.	
Name and Designation of the employer.	
Number of the person employed.	
Maximum number of the persons employed on any day during the preceding twelve months with date.	
Number of the employees covered by the Act.	
Nature of Industry.	
Whether Seasonal.	
Date of opening.	
Details of Head office/Branches: -	
(a) Name and address of the Head office.	
(b) Name and address of other branches in India.	
1.	
2.	
3.	
Number of employees	
	Establishment.  Name and Designation of the employer.  Number of the person employed.  Maximum number of the persons employed on any day during the preceding twelve months with date.  Number of the employees covered by the Act.  Nature of Industry.  Whether Seasonal.  Date of opening.  Details of Head office/Branches: -  (a) Name and address of the Head office.  (b) Name and address of other branches in India.  1.  2.  3.

I verify that the information furnished above is true to the best my knowledge and belief.

Place: Signature of the employer Date with name and designation

То

11(3) The Haryana Payment of Gratuity Rules, 1972	
The Controlling Authority,	

.....

# FORM 'B' [See sub-rule (2) of rule 3] Notice of Change

			Notice of C	ııaıı	JC	
Name	•	and	address		of	Establishment
	articulars fu	•	•	•		ect from in <b>on</b>
Name	<del></del>					
Addre	ess					
Name	of employ	er				
Natur	e of Busine	ess				
Place Date: To	: ne Controllin	ng Authorit	у,	Sig		employer with the d designation
	notice tha	t it is inte	'FORM (See sub-rule (3) on Notice of Condend to close	of the losu dow	<b>re</b> n the establ	ishment with effect
	1		details are furni		below:	
1.			f the Establishm			
2.	Name and any	address o	f the Head Offic	e, if		
3.	Name and	Designation	on of the employ	/er		
4.	Number of	persons ir	n employment			
5.	Number of	employee	s entitled to Gra	tuity		
6.	Amount of	Gratuity in	volved			
Place Date :					_	of the Employer, e and designation.

То

The Controlling Authority,

			FORM 'D' e sub-rule (1) of	<i>rule 5]</i> and from family		
From	າ:					
1.	Name of the	female emplo	oyee			
2.	Name or des	cription of es	tablishment			
3.	Post held wit	h Ticket or Se	erial No. if			
4.	Department/ employed	Branch/Section	on where			
5.	Permanent a	ddress				
				desire to exclude my ourpose of the Payme		
Place		Date:	Signature	/Thumb-impression	of	the
The : Nam	aration by wit above notice we in full and ful ess of witnesse	vas signed/thu I	umb-impressed 1. 2.	d before me. Signature of witness	<b>::</b>	
Place To	e:	Date:				
Т	The Controlling Name and addre	ess of the empl	loyer here)	• •		
	F		<b>use by the Em</b> I recorded in th	n <b>ployer</b> iis establishment		
	rence No		•	ture of the employer o		

- 1. (Employee)
- 2. The Controlling Authority.

Note - Strike out the words not applicable.

# FORM 'E' [See sub-rule (2) of rule 5] Notice of withdrawal of notice for excluding husband from family

	Notice of withdrawal of not	tice for exc	cluding husband from family
1.	Name of the female employe	ee	
2.	Name or description of estal where employed	olishment	
3.	Post held with Ticket or Seri any	al No. if	
4.	Department/Branch/Section employed	where	
5.	Permanent address		
purp	· ·	ity Act, 19	from my family for the 72. The earlier notice was recorded
Place empl	e: Date: loyee,	Signatur	re/Thumb-impression of the
	Declara	ation by W	itnesses
٦	The above notice of withdrawa	ıl was signe	ed/thumb- impressed before me.
	e in full and full		
1.	esses of witnesses:	1. 2.	Signature of witnesses:
2. Place	e: Date:	۷.	
To	o. Buto.		
	The Controlling Authority (throu Name and address of the emp		ployer)
	use by the Employer		
	eived and recorded in this esta		
Refe	rence No Date	8	Signature of the employer or office

authorised. Seal or rubber stamp of

the

Establishment.

To

- 1. (Employee)
- 2. The Controlling Authority.

Note - Strike out the words not applicable.

# FORM 'F' [See sub-rule (1) of rule 6] Nomination

(Give here name or description of the establishment with full address)
I, Shri/Shrimati/Kumari (Name in full here)
whose
particulars are given in the statement below, hereby nominate the person(s)
mentioned below to receive the gratuity payable after my death as also the
gratuity standing to my credit in the event of my death before that amount has
become payable, or having become payable has not been paid and direct
that the said amount of gratuity shall be paid in proportion indicated against
the name (s) of the nominee (s).

- 2. I hereby certify that the person(s) mentioned is a/are member (s) of my family within the meaning of clause (h) of sections) of the Payment of Gratuity Act, 1972.
- 3. I hereby declare that I have no family within the meaning of clause(h) of section 2 of the said Act.
- 4. (a) My Father/mother/parents is/are not dependent on me.
- (b) My husband's father/mother/parents is/are not dependent on my husband.
- 5. I have excluded my husband from my family by a notice dated the......to the controlling authority in terms of the proviso to clause (h) of section 2 of the said Act.
- 6. Nomination made herein invalidates my previous nomination.

#### NOMINEE (S)

Name in full with	Relationship with	Age of nominee	Proportion by
full address of	the employee	Age of Hollinee	which

11(3) The Haryana Payment of Gratuity Rules, 1972				
nominee (s)			the gratuity will be shared	
1	2	3	4	
1.				
2.				
3.				
4.				
and so on				

#### **STATEMENT**

1.	Name of employee in full	
2.	Sex	
3.	Religion	
4.	Whether unmarried/ married /widow/widower	
5.	Department/Branch/Section where employed	
6.	Post held with Ticket or Serial No., if any	
7.	Date of appointment	
8.	Permanent Address Village/Thana/Sub-Division/Post Office/District/State	

Place: Date: Signature/Thumb-impression of the employee

## **Declaration by witnesses**

Nomination signed/thumb-impressed before me.

Name in full and full addresses of witnesses: Signature of witnesses:

1. 2.

2.

Place: Date:

#### Certificate by the employer

Certified that the particulars of the above nomination have been verified and recorded in this establishment.

Employer's Reference No., if any:

Signature of the employer/officer authorised

# 11(3) The Haryana Payment of Gratuity Rules, 1972 Designation ..... Date ..... Name and address of the establishment or rubber stamp thereof. Acknowledgement by the employee Received the duplicate copy of nomination in Form 'F' filled by me and duly certified by the employer. Signature of the employee. Date..... **Note -** Strike out the words/paragraph not applicable. FORM 'G' [See sub-rule (3) of rule 6] Fresh nomination Tο (Give here name or description of the establishment with full address) I, Shri/Shrimati .....(Name in full here) whose particulars are given in the statement below, have acquired a family within the meaning of clause (h) of section 2 of the Payment of Gratuity Act, 1972, with effect from the ...... (date here) ..... in the manner indicated below and, therefore, nominate afresh the person(s) mentioned below to receive the gratuity payable abler my death as also the gratuity standing to my credit in the event of my death before that amount has become payable, or having become payable has not been paid direct that the said amount of gratuity shall be paid in proportion indicated against the name(s) of the nominee(s). 2. I hereby certify that the person(s) nominated is a/are member of my family within the meaning of clause (h) of section 2 of the said Act. 3. (a) My Father/mother/parents is/are not dependent on me. (b) My husband's father/mother/parents is/are not dependent on my husband. 4. I have excluded my husband from my family by a notice dated the ......to the controlling authority in terms of the proviso to clause (h) of section 2 of the said Act.

NOMINEE (S)

Age of nominee

**Proportion by** 

Relationship with

Name in full with

#### 11(3) The Haryana Payment of Gratuity Rules, 1972 full address of which the employee nominee (s) the gratuity will be shared 1 2 3 4 1. 2. 3. 4. and so on

### Manner of acquiring a "family"

(Here give details as to how a family was acquired, i.e. whether by marriage or parents being rendered dependent or through other process like adoption.)

#### **STATEMENT**

1.	Name of employee in full	
2.	Sex	
3.	Religion	
4.	Whether unmarried/ married /widow/widower	
5.	Department/Branch/Section where employed	
6.	Post held with Ticket or Serial No., if any	
7.	Date of appointment	
8.	Permanent Address Village/Thana/Sub-Division/Post Office/District/State	

Place: Date: Signature/Thumb-impression of the employee

Declaration by witness

Fresh nomination signed/thumb impressed before me.

Name in full and full addresses of witnesses: Signature of witnesses:

1 1. 2. 2.

Place: Date:

Certificate by the employer

Certified	that	the	particulars	of	the	above	nomination	have	been	verified	and
recorded	in th	is es	tablishmen	t.							

Employer's Reference No., (if any)

Date:.....

	Signature of the employer/officer authorised Designation
Acknowledgen	nent by the employee
Received the duplicate copy of nomir	nation in form filed by me
onduly certified by	the employer.
Date:	Signature of the employer

Note - Strike out words and paragraphs not applicable.

#### FORM 'H' (See sub-rule (4) of rule 6) **Modification of nomination**

То

(Give here name or description of the establishment with full address)

I, Shri/Shrimati/Kumari (Name in full here) ......whose particulars are given in the statement below hereby give notice that the nomination filed by me on..... and recorded under your

Reference No.: Dated:

(shall stand modified in the following manner: Here give details of the modification intended)

#### **STATEMENT**

1.	Name of ampleyee in full	
1.	Name of employee in full	
2.	Sex	
3.	Religion	
4.	Whether unmarried/ married /widow/widower	
5.	Department/Branch/Section where employed	
6.	Post held with Ticket or Serial No., if any	
7.	Date of appointment	
8.	Permanent Address Village/Thana/Sub-Division/Post Office/District/State	

11(3) The Haryana Paymer	nt of Gratuity Rules, 1972
Place: Date: Signatu	re/Thumb-impression of the employee
Declaration by	y the witness
Modification of nomination signe	ed/thumb impression before me.
Name in full and full addresses of witness	ses: Signature of witnesses:
1	1.
2. Place: Date:	2.
Certificate by	the employer
Certified that the above modification have	• •
Employer's reference No., (if any) authorised	Signature of employee/officer
1	Designation
ı	Name and address of the establishment
or	rubber stamp thereof
Acknowledgement	by the employee
Received the duplicate copy of the notice	for modification in Form 'H' filed by me
on duly certified by the e	employer.
Date:	Signature of the employee.
Note:- Strike out the words not applicable	<del>)</del> .
FOR (See sub-rule	(1) of rule 7)
<b>Application for gratu</b> To	lity by an employee
	the establishment with full address)
Sir/Gentlemen,	the establishment with full address;
•	to which I am entitled under sub-section
	Gratuity Act, 1972, on account of my
	on after completion of not less than five
	al disablement due to accident/total
,	

**STATEMENT** 

given in the statement below:-

	11(3) The Haryana Payment of Gratuity Ru	lles, 1972
1.	Name in full	
2.	Address in full	
3.	Department/Branch/Section where last employed	
4.	Post held with Ticket No., or serial No., if any	
5.	Date of appointment	
6.	Date and cause of termination of service	
7.	Total period of service	
8.	Amount of wages last drawn	
9.	Amount of gratuity claimed	
2.	I was rendered totally disabled as a result of (here give the details of the nature of disease or accident) The evidence/witnesses in support of my total disablement are as follows :- (Here give details)	
3.	Payment may please be made in cash/open or crossed bank cheque	
4.	As the amount of gratuity payable is less than Rupees one thousand, 1 shall request you to arrange for payment of the sum due to me by Postal Money Order at the address mentioned above after deducting postal money order commission therefrom.	

Yours faithfully,

Place of Date

Signature/thumb-impression the applicant

employee

Note - (1) Strike out the words not applicable

(2) strike out paragraph or paragraphs not applicable.

FORM J
[See sub-rule (2) of role 7]
Application for gratuity by a nominee

To

(Give here the name or description of the establishment with full address). Sir/Gentlemen,

I beg to apply for payment of gratuity to which I am entitled under subsection (1) of section 4 of the Payment of Gratuity Act, 1972 as a nominee of Late (name of the employee) who was an employee of your establishment and died on the ....... The gratuity is payable on account of the death of the aforesaid employee while in service/ superannuation of the aforesaid employee on ...... retirement or resignation of aforesaid employee on disablement of the aforesaid employee due to accident or disease while in service with effect from the ...... statement below: -

#### **STATEMENT**

1.	Name of applicant nominee.	
2.	Address in full of applicant nominee.	
3.	Marital status of the applicant nominee (unmarried/married/widow/widower).	
4.	Name in full of the employee.	
5.	Marital status of employee.	
6.	Relationship of the nominee with the employee.	
7.	Total period of service of the employee	
8.	Date of appointment of the employee	
9.	Date and cause of termination of service of the employee	
10.	Department/Branch/Section where the employee last worked	
11.	Post last held by the employee with Ticket or Serial No. if any	
12.	Total wages last drawn by the employee	
13.	Date of death and evidence /witness as proof of death of the employee	
14.	Reference No. of recorded nomination, if available	
15.	Total gratuity payable to the employee	
16.	Share of gratuity claimed	

	11(3) The Haryana Payment of Gratuity Rules, 1	972
2.	I declare that the particulars mentioned in the above statement are true and correct to the best of my knowledge and belief.	
3.	Payment may please be made in cash/crossed or open bank cheque.	
4.	As the amount payable is less than Rupees one thousand, I shall request you to arrange for payment of the sum due to me by postal money order at the address mentioned above after deducting postal money order commission therefrom.	

Yours faithfully,

Place: Signature/Thumb-impression

Date: of applicant nominee.

**Notes-** 1. Strike out the words not applicable.

2. Strike out paragraph or paragraphs not applicable.

# FORM 'K'

# [See sub -rule (3) of rule 7] Application for gratuity by a legal heir

То

(	Give here	the nar	ne or	description	of the	establishm	ent with	full a	address)
Sir/C	Gentlemen	,							

I beg to apply for payment of gratuity to which I am entitled under sub-
section (I) of section 4 of the payment of Gratuity Act, 1972, as a legal heir of
late (Name of the employee) who was an employee
of your establishment and died on the without making any
nomination The gratuity is payable on account of the death of the aforesaid
employee while in service/superannuation of the aforesaid employee on the
retirement or resignation of the aforesaid employee on
the after completion of year of service/total
disablement of the aforesaid employee due to accident or disease while in
service with effect from the Necessary particulars
relating to my claim are given in the Statement below

### **STATEMENT**

1.	Name of applicant legal heir						
2.	Address in full of applicant legal heir						
3.	Marital status of the applicant legal heir (unmarried/married/ window/ widower)						
4.	Name in full of the employee						
5.	Relation ship of the applicant with the employee						
6.	Religion of both the applicant and the employee						
7.	Date of appointment and total period of service of the employee						
8.	Department/Branch/Section where the employee worked last						
9.	Post last held by the employee with ticket or serial No., if any						
10.	Total wages last drawn by the employee						
11.	Date and cause of termination of service of the						

11(3) The Haryana Payment of Gratuity Rules, 1972						
	employee (death or otherwise)					
12.	Date of death of the employee and evidence/witness in support thereof					
13.	Total gratuity payable to the employee					
14.	Percentage of the gratuity claimed					
15.	Basis of the claim and evidence witness in support thereof					
2.	I declare that the particulars mentioned in the above statement are true and correct to the best of my knowledge and belief.					
3.	Payment may please be made in cash/open or crossed bank cheque.					
4.	As the amount payable is less than Rupees one thousand, I shall request you to arrange for payment of the sum due to me by postal money order at the address mentioned above after deducting postal money order commission therefrom.					
	You	rs faithfully,				
Place Date <b>Note</b>	- 9	nature/Thumb impression oplicant legal heir.				
	FORM 'L'					

FORM 'L'
[See Clause (i) of sub rule (1) of rule 8]
Notice for payment of gratuity

То

(Name and address of the applicant employee/nominee legal heir).

You are hereby informed as required under clause (1) of sub-rule (1) of 8 of the payment of Gratuity (Haryana) \*Rules, 1972 that a sum of Rs...... (Rupees ...................) is payable to you as

<sup>\*</sup> Corrigendum issued vide Hr. (Govt. Notification No. s3337/3-Lab-73/16580, dated 18.4.1973

	11(3) The Haryana Payment of Gratuity Rules, 1972
	gratuity/as your share of gratuity in terms of nomination made byon
_	of an employee of thisestablishment.
2.	Please call at(Here specify place)
	(date) at time, for collecting your payment in cash open
	or crossed cheque.
3.	Amount payable shall be sent to you by postal money order at the address
	given by in your application after deducting the postal money order
	commission, as desired by you.
Brief statement of calculation	
1.	Total period of service of the employee concerned :Years
	months.
2.	Wages
dra	wn
3.	Proportion pf the admissible gratuity payable in terms of nomination as a
	legal
	heir
4.	Amount
pay	/able
Pla	ce: Signature of the
	ployer/
Da	
	Name or description of establishment or rubber -
	stamp thereof
Co	py to the Controlling Authority.
No	te - Strike out the words not applicable.

# FORM 'M'

[See clause (ii) of sub- rule (1) of rule 8]

Notice rejecting claim for payment of gratuity

То

(Name and address of the applicant employee nominee legal heir).

You are hereby informed as required avoider clause (ii) of sub-rule (1) of rule 8 of the payment of Gratuity (Haryana)\*Rules 1972 that your claim for payment of gratuity as indicated on your application in Form...... under the said rules is not admissible for the reasons stated below.

### **REASONS**

(Here specify the reasons)

Place: Date:	Signature of the Employer/ Authorised Officer  Name or description of establishment or rubber stamp
thereof. Copy to the controlling Authority. Note - Strike out the words not applicable	·
FORM <sup>(</sup> [See sub - rule (I) Application for direction Before the Payment of Gratu	of rule 10] Controlling Authority under the
Application NoDate	
betwee	
(Name in full of the applic	ant with full address)
And	
(Name in full of the employer concerned	d with full address).
The applicant is an employee of the abo	ove mentioned employer/s nominee of
late, Sh An ei	mployee of the above mentioned
employer/s legal heir of late Sh	and employee of the
above mentioned employer, and is e	ntitled to Payment of gratuity under
section 4 of the payment of Gratu	uity Act, 1972, on account of his
own/aforesaid employee's resignatio	n, on date after
completion, of y	ears of continuous services/his
own/aforesaid employee's total disable	
to accident/disease /death of the afores	

<sup>\*</sup> Corrigendum issued vide Hr. (Govt. Notification No. s3337/3-Lab-73/16580, dated 18.4.1973

- 3. The applicant submits that there is a dispute on the matter (specify the dispute).
- 4 The applicant furnishes the necessary particulars in the annexure hereto and prays that the Controlling Authority may be pleased to determine the amount of gratuity payable to the petitioner and direct the above mentioned employer to pay the same to the petitioner,
- 5. The applicant declares that the particulars furnished in the annexure hereto are true and correct to that of his knowledge and belief.

Date:	Signature of the applicant/ Thumb-
	impression of the applicant

### **ANNEXURE**

1.	Name in full of applicant with full address	
2.	Basis of claim (Death/Superannuation/Retirement /Registration/ disablement of employee)	
3.	Name and address in full of the employee,	
4.	Marital status of the employee (Unmarried/married/widow/widower)	
5.	Name and address in full of the employer	
6.	Department/Branch/Section where the employee was last employed (if known)	
7.	Post held by the employee with Ticket No. or Serial No. if any (if known)	
8.	Date of appointment of the employee (if known)	
9.	Date and cause of termination of service of the	

	11(3) The Haryana Payment of Gratuity Ru	les, 1972
	Employee (superannuation/ retirement/resignation/ disablement/ death)	
10.	Total period of the service by employee.	
11.	Wages last drawn by the employee.	
12.	If the employee is dead, date and cause thereof.	
13.	Evidence/witness in support of death of the employee.	
14.	If a nominee, No. and date of recording of nomination with the employer.	
15.	Evidence/witness in support of being a legal heir, if a legal heir.	
16.	Total gratuity payable to the employee (if known)	
17.	Percentage of gratuity payable to the applicant as a nominee/legal heir.	
18.	Amount of gratuity claimed by the applicant	

Place: Date: Signature/Thumb impression of the applicant

Note - Strike out the words not applicable.

### FORM 'O'

[See sub-rule (1) of rule 11]
Notice for appearance before the controlling authority

From

The Controlling Authority under the Payment of Gratuity Act, 1972.

То

(Name and address of the employer/	/applica	ant).				
Where as Shri	an er	nplo	yee und	der you	u/a nor	ninee(s)
/legal heir(s) of Shri		an	employe	e und	der the	above

mentioned employer, has/have filed an application under sub-rule (1) of rule 10 of the Payment of Gratuity (Haryana) Rules, 1972, alleging that -

Take notice that in default of your appearance on the day before mentioned the application will be dismissed/heard and determined in your absence.

Given under my hand and seal, this ..... day of..... 19...

Controlling Authority

**Note -** Strike out the words and paragraphs not applicable.

FORM 'P' [See rule 14] Summons

Before the Controlling Authority under the Payment of Gratuity Act, 1972.

То

Name and Address)

Whereas your attendance is required to give evidence /you are required to produce the documents mentioned in the list below, on behalf of..... in the case arising out of the claim for gratuity by .......from ...... and referred to this Authority by an application under section 7 of the Payment of Gratuity Act, 1972, you are hereby summoned to appear personally before this Authority on the day of 19..... at ...... O'clock in the forenoon.

#### LIST OF DOCUMENTS

1.	2.	3.	So on.
Dated this	day of	19	

<sup>\*</sup> Corrigendum issued vide Hr. Govt. Noti. No. 3337-3-Lab-73/16580, dated 18.4.1973. afternoon and to bring with you (or to send to this Authority) the said documents.

Controlling Authority.

- Note (1) The portion not applicable to be deleted.
  - (2) The summons shall be issued in duplicate. The duplicate is to be signed and returned by the person served before the date fixed.
  - (3) In case the summons is issued only for producing a document and not to give evidence, it will be sufficient compliance to the summons if the documents are caused to be produced before the controlling authority on the day and hour fixed for the purpose.

# FORM 'Q' [See sub rule (1) of rule 16] Particulars of application under section -7

1.	Serial No.	
2.	Date of the application.	
3.	Name and address of the applicant.	
4.	Name and address of the employer.	
5.	Amount of gratuity claimed	
6.	Dates of hearing.	
7.	Finding with date.	
8.	Amount awarded.	
9.	Cost, if any awarded.	
10.	Date of issued for payment of gratuity.	
11.	Date of appeal, if any.	
12.	Decision of the Appellate Authority.	
13.	Date of issue of Final Notice for payment of gratuity,	
14.	Date of payment of Gratuity by Employer with mode of payment.	
15.	Date of receipt of application for	

	11(3) The Haryana Payment	of Gratuity Rules, 1972
	recovery of gratuity.	
16.	Date of issue of Recovery Certificate.	
17.	Date of recovery,	
18.	Other remarks.	
19.	Signed.	
20.	Date	

# FORM 'R' [See rule 17] Notice for Payment of Gratuity

To (Name and address of employer) employee under you a nominee(s) legal heir (s) Late ......an employee under you, filed an application under section 7 of the Payment of Gratuity Act, 1972 before me; And whereas the application was heard in your presence on ......and after the bearing I have come to the finding that the said Shri/Smt./Kumari..... is entitled to the payment of Rs..... as gratuity under the payment of Gratuity Act, 1972; Now, therefore, I hereby direct to pay the said sum of Rs...... to Shri/Smt./ Kumari.....with in thirty days of the receipt of this notice with an intimation thereof to me. Given under my hand and seal this ..... day of..... 19.......

Controlling authority

### Copy to: -

(Applicant under rule)

He is advised to contact the employer for collecting the payment.

Note - The portion not applicable to be deleted.

### FORM 'S'

(See sub-rule 8 of rule 18) \*

### Notice for payment of Gratuity as determined by Appellate Authority

То	The new terms of the control of the
(	(Name and address of employer)
1	Whereas a notice was given to you onform 'R' requiring
,	you to make a payment of Rsto Shri/Smt./Kumari
•	as gratuity under the Payment of Gratuity Act.
-	1972.
1	Whereas you/the applicant went in appeal before the appellate authority, who
ŀ	has decided that an amount of Rs is due to be paid to
(	Shri/Smt./Kumarias gratuity due under the Payment of
(	Gratuity Act, 1972.
I	Now, therefore, I hereby direct you to pay the said sum of Rs
t	to Shri/Smt./ Kumariwithin 30 days of the receipt of this
1	notice with an intimation thereof to me.
(	Given under my hand and seal, thisday of19
	Controlling Authority.
Сор	y to :-
(1)	The applicant
l	He is advised to contact the employer for collecting payment.
(2)	The Appellate Authority.
Note	e - The portion not applicable to be deleted.

FORM 'T' (See rule 19)

## Application for recovery of Gratuity

Before the controlling Authority under the payment of Gratuity Act, 1972. Application No......Date.....

Between

(Name in full of the applicant with address)

and

(Name in full of the employer with full address)

<sup>\*</sup> Corrigendum issued vide Hr. Govt. Noti. No. 3337-3-Lab-73/16580, dated 18.4.1973.

The applicant is an employee of the above mentioned employer/a nominee of
late
an employee of the above mentioned employer/a
legal heir of late, an employee of the above mentioned
employer, and you were pleased to direct the said employer in your notice
dated the under ruleof the Payment of Gratuity
Rules, 1972, for payment of a sum of Rsas gratuity payable
under the Payment of Gratuity Act, 1972,

- 2. The applicant submits that the said employer failed to pay the said amount of gratuity to me as directed by you although I approached him for payment.
- 3. The applicant therefore, prays that a certificate may be issued under section of the said Act for recovery of said sum of Rs. ...... due to me as gratuity in terms of your direction.

Date: Signature/thumb- impression Place: of the applicant.

Note - Strike out the words not applicable

# FORM 'U' [See rule 20] (Abstract of the Act and rules)

2. Whom the Act applies - The Act applies to (a) every factory (b) every shop or establishment with in the meaning of any law for the time being in force in relation to shops and establishment in the State of Haryana in which ten or more persons are employed, or were employed, on any day of preceding twelve months and (c) such other establishments or class of establishment, in which ten or more employees are employed or were employed, or any day of preceding twelve months as the State Government may by notification specify in this behalf [Section I (3)].

### 3. Definitions -

- (a) "Appropriate Government" means
  - (i) in relation to an establishment. -
    - (a) belonging to or under the control of Central Government,
    - (b) having branches in more than one State;
    - (c) of a factory belonging to or under the control of the Central Government;

- (d) of a major port mine, oil fields or railway company Central Government:
- (ii) in any other case, the State Government (section 2 (a));
- (b) "Completed year of service" means continuous service for one year. (Section 2 (b))
- (c) "Continuous service" means uninterrupted service and includes service which is interrupted by sickness accident, leave, layoff, strike or lockout cessation of work not due to any fault of the employee concerned, whether such un-interrupted or interrupted service was rendered before or after the commencement of this Act.

**Explanation** - (I) In the case of an employee who is not in uninterrupted service for one year he shall be deemed to be in continuous service if he has been actually employed by an employer during the twelve months immediately preceding the year for not less than.

- (i) 190 days, if employed below the ground in a mine; or
- (ii) 240 days in any other case except when he is employed in a seasonal establishment

**Explanation** - (II) An employee of a seasonal establishment shall be deemed to be in continuous service if he has actually worked for not less than seventy five percent of the number of days on which the establishment was in operation during the year (section 2 (c).

- (d) "Controlling Authority" means an authority appointed by an appropriate Government under Section 3 (Section 2(d)).
- (e) "Family" in relation to an employee shall be deemed to consist of:-
  - in case of male employee himself his wife's children, whether married or unmarried his dependent parents and the widow and the children of his predeceased son, if any;
  - (ii) in the case of a female employee, herself, her husband, her children whether married or unmarried dependent parents and the dependent parents of the husband and the widow and the children or her predeceased son, if any:

**Provided** that if a female employee by a notice in writing to file controlling authority express her desire to exclude her husband from her family the husband and his dependent parent shall not longer be deemed for the

purpose of this Act, to be included in the family of such female employee unless the said notice is subsequently withdraw by such female employee.

**Explanation -** Where the personal law of an employee permits the adoption by him of a child, any child lawfully adopted by him shall be deemed to be included in his family, and where a child of an employee has been adopted by another person and such adoption is under the personal law of the person making such adoption, lawfully, such child shall be deemed to be excluded from the family of the employee [Section 2 (h)].

### 4. Nomination -

- (1) Each employee who has completed one year service after the commencement of Haryana Payment of Gratuity Rules, 1972 shall make with in thirty days of completion of one year service, a nomination [Section 6 (1) read with rules 6 (1].
- (2) If any employee has family at the time of making a nomination, the nomination shall be made in favour of one or more members of his family and any nomination nude by such employee in favour of a person who is not member of family shall be void [Section 6 (3)].
- (3) If at the time of making nomination the employee has no family, the nomination can be made in favour of any person or persons but if the employee subsequently acquires a family such nomination shall forthwith become invalid and the employee shall make within 90 days a fresh nomination in favour of one or more member of his family [Section 6 (4) read with Rule 6 (3)].
- (4) A nomination or fresh nomination or a notice of modification of nomination shall be signed by the employee or if illiterate, shall bear his thumb impression in the presence of two witnesses, who shall also sign declaration to that effect in that nomination, fresh nomination or notice of modification of nomination as the case may be [Rule *6(5)*]
- (5) A nomination, may subject to the provisions of sub-sections (3) and (4) of Section 6 be modified by an employee at any time after giving to his employer a written notice of his intention to do so [Rule 6 (5)].
- (6) A nomination or fresh nomination or notice of modification of nomination shall take effect from the date of receipt of the same by the employer [Rule 6 (6)]

### 5. Application for Gratuity -

(1) An employee who is eligible for payment of gratuity under the Act. or any person authorised in writing to act on his behalf, shall apply ordinarily within thirty days from the date, the gratuity becomes payable:

**Provided** that where the date of superannuation or retirement of an employee is known, the employee may apply to the employer before thirty days of the superannuation or retirement [Rules 7 (2)].

- (2) A nominee of an employee who is eligible for payment of gratuity shall apply, ordinarily within thirty days from the date, the gratuity becomes payable to him, to the employer [Rule 7 (3)].
- (3) A legal heir of an employee who is eligible for payment of gratuity shall apply ordinarily within one year from the date the gratuity becomes payable to him, to the employee [Rule 7 (3)].
- (4) An application for payment of gratuity filed after the expiry of the period specified above shall also be entertained by the employer if the applicant adduces a sufficient cause for the delay [Rule 7 (5)].

### 6. Payment of gratuity -

- (1) Gratuity shall be payable to an employee on the termination of his employment after he has rendered continuous service for not than five years:
  - (a) on his superannuation; or
  - (b) on his retirement or resignation; or
  - (c) on his death or disablement due to accident/disease:

**Provided** that the completion of a continuous service of five years shall not be necessary where the termination of the employment of any employee is due to death or disablement

- (1) Disablement means such disablement which incapacitates an employee for the work which he was capable of performing before the accident or disease resulting in such disablement [Section 4 (1)].
- (2) For every completed year of service or part thereof in excess of six months, based on the rates of wages the employer shall pay gratuity to an employee at the rate of fifteen days wages last drawn by the employee concerned:

**Provided** that in the case of a piece rated employee daily wages shall be computed on the average of the total wages received by him for a period of three months immediately preceding the termination of his employment and,

for this purpose, the wages paid for any overtime work shall not be taken in to account:

**Provided** further that in the case of an employee employed in a seasonal establishment, the employer shall pay the gratuity at the rate of seven days for each season [Section 4 (2)].

(3) The amount of gratuity payable to an employee shall not exceed twenty months wages [Section 4 (3)].

### 7. Forfeiture of Gratuity -

- (1) The gratuity of an employee, whose services have been terminated for any act, willful omission or negligence cause any damage or loss to or destruction of, property belonging to the employer, shall be forfeited to the extent of the damage or loss so caused.
- (2) The gratuity payable to an employee shall be wholly forfeited :-
  - (a) If the service of such employee have been terminated for riotous or disorderly conduct or any other act of violence on his part; or
  - (b) if the services of such employee have been terminated for any act which constitutes an office involving moral turpitude provided that such offence is committed by him in the course of his employment [Section 4 (6)].

### 8. Notice of opening, change and closure of Establishment -

- (1) A notice shall be submitted by the employer to the Controller Authority of the area within thirty days of any change in the name, address, employer, or nature of business [Rule 3 (20)].
- (2) Where an employer intends to close down the business he shall submit a notice to the controlling authority of the area at least sixty days the intended closure [Rule 3(3)].

### 9. Application to controlling authority for direction - If any employer :-

- refuse to accept a nomination or to entertain an application for payment of gratuity; or
- (ii) issue a notice either specifying an amount of gratuity which is considered by the applicant less than what is payable or rejecting eligibility to payment of gratuity; or
- (iii) having received an application for payment of gratuity fails to issue not ice within fifteen days the claimant employee, nominee or legal heir as the

case may be may within ninety days of the concurrence of the cause for the application, apply to the controlling authority for issuing direction under sub-section (4) of section 7 with as many as extra copies as are the opposite party:

**Provided** that the controlling authority may accept any application on sufficient cause being shown by the applicant, after the expiry of the period of ninety days (Rule 10).

- 10. Appeal Any person aggrieved by an order of the controlling authority may, within sixty days from the date of the receipt of the order, prefer an appeal to the Labour Commissioner, Haryana, who has been appointed as the appellate authority by State Government.
  - **Provided** that the appellate authority may, if it is satisfied that the appellant was prevented by sufficient cause from preferring the appeal within the said period of sixty days, extend the said period by a further period of sixty days [Section 7(7)].
- 11. Machinery for enforcement of the Act or Rules In State sphere- All Assistant Labour Commissioners (Central) have been appointed as Controlling authorities and all the Regional Labour commissioner (Central) as appellate authorities.
- **12. Powers of Controlling Authority** The Controlling authority for the purpose of conducting an enquiry as to the amount of gratuity payable to an employee or as to the admissibility of any claim of, or in relation to, an employee for the payment of gratuity, or as to the person entitled to receive the gratuity, shall have the same powers as are vested in a court, under the Code of Civil Procedure, 1908, in respect of the following matters, namely:-
  - (a) enforcing the attendance of any person or examining him on oath;
  - (b) requiring the discovery and production of documents;
  - (c) receiving evidence on affidavits; and
  - (d) issuing commissions for the examination of witness [Section 7(5)].
- 13. Recovery of Gratuity If the amount of gratuity payable is not paid by the employer, within the prescribed time, to the person entitled thereto the controlling authority shall, on an application made to it in this behalf by the aggrieved person, issue a certificate for the amount to the Collector, who shall recover the same, together with compound interest thereon at the rate of nine percent per annum, from the date of expiry of the prescribed time, as

- arrears of land revenue and pay the same to the person entitled thereto (Section 8).
- **14. Protection of Gratuity-**No gratuity payable under the Payment of Gratuity Act and the rules made thereunder shall be liable to attachment in execution of any decree or order of any civil, revenues or criminal court (Section 13).
- 15. Penalties of Offences Whoever for the purpose of avoiding any payment to be made by himself or of any other person to avoid such payment Knowingly makes or causes to be made any false statement or false representation shall be punished with imprisonment for a term which may extend to six months, or with fine which may extended to one thousand rupees, or with both. [Section 9 (1)]
- (2a) An employer who contravenes, or makes defaults in complying with any of the provisions of the Act or any rule of order made thereunder shall be punishable with imprisonment for a term which may extend to one year, or with fine which may extend to one thousand rupees, or with both;
  - **Provided** that if the offence relates to non payment of any gratuity payable under the Payment of Gratuity Act, the employer shall be punishable with imprisonment for a term which shall not be less than three months unless the court trying the offence, for reasons to be recorded by it in writing is of a opinion that lesser term of imprisonment or the imposition of a fine would meet the ends of justice [Section 9 (2)].
- **16. Display of notice -** The employer shall display conspicuously a notice at or near the main entrance of the establishment in bold letters in English and in the language understood by the majority of the employees specifying the name of the Officer with designation authorised by the employer to receive on his behalf notices under the Payment of Gratuity Act or the rules made thereunder [Rule(4)]
- 17. Display of abstract of the Act and Rules-The employer shall display an abstract of the Payment of Gratuity Act and the rules made thereunder in English and in the language understood by the majority of the employees at a conspicuous place at or near the main entrance of the establishment (Rules 20).